5 CFR	General and Preliminary Sections	Designation of Separate Agency Components/Additional Rules	Prohibited Interests/Holdings	Restricted Purchase or Use of Property/ Assets	Outside Employment/Activities of Employee (restrictions and approval requirements)	Outside Employment/Activities of Family Members	Procedure for Accomplishing Disqualification	Miscellaneous
Treasury, 3101.	definition of "agency	102-Agency components are separate agencies for purposes of governing gifts from outside sources and teaching, speaking, or writing. 105-111-Additional rules for certain agency components; includes additional prohibitions on financial interests and outside employment.		103-Prohibits purchase of property/assets owned by the government and under the control of the employee's bureau. Includes exceptions and waiver.	104 -Requires written approval prior to outside employment or business activities, unless excepted by manuals/instructions required from each bureau.			
FDIC, 3201.	101-Includes purpose, designations, and definitions of: "affiliate," "appropriate director," "covered employee," "employee," "ethics counselor," "security," "state nonmember bank," and "subsidiary."			103-Prohibits employees or family from acquiring, owning, or controlling a security insured or supervised by the FDIC; includes exceptions; waiver and disclosure/disqualification requirements. 104-Restricts purchase of property held by FDIC or RTC including disqualification requirements.	107 -Prohibits employment with FDIC-insured institution; prohibits using certain professional licenses for income; and requirement to consult with an agency designee.	106 -Prohibits employee from examining employer of family member, and has reporting requirement.		102-Extentions of credit and loans from FDIC-insured institution; 105-Prohibition on dealings with former employers, associates and clients; 108-Cross-referencing to related statutory and regulatory authorities; 109-Certain provisions of 5 CFR 2635 not applicable to corporation employees.
DOE, 3301.	101 -Includes purpose and definitions of: "agency designee" and "counselor."		102 -Prohibits employee,		103 -Requires written approval prior to employment from supervisor and DAEO; has approval granted unless otherwise prohibited. Defines "employment."		102 -Written notice of disqualification requirement and method of withdrawal of notice.	
FERC, 3401.	101 -General		spouse, or minor child of employee from holding securities in certain energy and electrical companies. Includes a waiver provision, and defines "securities" and "parent."		104 -Requires written approval (other than for SGEs) prior to outside employment with a prohibited source. Has approval standards. Defines "employment."		103-Written notice of disqualification requirement and method of withdrawal of notice.	

5 CFR	General and Preliminary Sections	Designation of Separate Agency Components/Additional Rules	Prohibited Interests/Holdings	Restricted Purchase or Use of Property/ Assets	Outside Employment/Activities of Employee (restrictions and approval requirements)	Outside Employment/Activities of Family Members	Procedure for Accomplishing Disqualification	Miscellaneous
-	101-Includes definitions of: "department," "bureau," "ethics counselor," and "deputy ethics counselor." Also includes bureau instructions.	102-Agency components are separate agencies for purposes of: gifts from outside sources; teaching, speaking, and writing. Requires prior approval of	103-Cross-references to statutory prohibitions. Prohibits certain employees from acquiring or holding financial interests in federal lands/resources administered by the DOI. Includes exceptions and a waiver provision, and has a grandfather provision. 104-Cross-references to statutory prohibitions. Prohibits USGS employees or family from having a financial interest in mining activities. Defines "financial interest," and "private mining activities." Includes exceptions, a divestiture requirement, a waiver provision. Has a grandfather provision.		105-Prohibits USGS employees from surveying for private entities. Prohibits BLM employees from employment in real estate (but allows them to keep realty licenses). Prohibits BIA employees from holding a position on a tribal board. Requires written approval from other employees prior to outside employment with a prohibited source. Specifies form of request for approval and standard for approval. Defines "employment," and "prohibited source."			
DOD, 3601.	101 -Purpose	102 -Agency components are separate agencies for purposes of: gifts from outside sources; and teaching, speaking, and writing.		104 -Prohibits purchase from DOJ property	107-Requires written approval prior to a business activity or compensated outside employment with a prohibited source. Defines "business activity," "employment," and "prohibited source."		105-Written notice of disqualification requirement concerning financial interests, impartiality and prospective employment. Method of withdrawal of notice.	103-Exceptions allowing an employee to accept gifts, in addition to 5 CFR 2635.204. 104- limitations on gifts between DOD employees. 106-Limitation on solicited sales; 108-Disclaimer requirement for speeches and writings devote to agency matters.
DOJ, 3801.	101 -General	102-FBI and DEA are subject to these supplemental regulations. 103-Agency components are separate agencies for purposes of: gifts from outside sources; and teaching speaking and writing.		forfeited to the US without prior approval. Greater restrictions for FBI and DEA. 105-Prohibits employees from using government property for unauthorized purposes. Crossreferences to DOJ regulations.	106-Defines "outside employment," and prohibits employees from outside employment involving the practice of law (with exceptions), and has a waiver provision. Requires written approval prior to certain other types of employment, and has approval standard.			

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FCC, 3901.	101 -General				102-Requires written approval prior to engaging in the same profession as the employee's official position, whether or not compensated, and has approval procedure and standard.			
FCC, 3902.	101 -General							102-Employees required to submit FCC Form A54A "Confidential Supplemental Statement of Employment and Financial Interests." 103-Submission and review process of employees' statements. 104-Confidentiality of employees' statements.
FCIC, 4001.	101-General 102-Definitions of: "covered employees," "related entity," and "system institution." 109-General waiver provision, for all provisions of this regulation.		103-Prohibits "covered employees," spouse, or minor child from owning securities issued by a system institution or related entity. Defines "securities." Includes exceptions.	· ·	108-Prohibits employees from paid or unpaid service for any system institution or related entity. Requires prior written approval before any outside employment or business activity, with or without compensation. Defines "employment."	or spouse is employed in a		104-Prohibits certain employee, spouse, or minor child borrowing, and includes exceptions; 107-Restricts involvement in system institution board member elections.
FCA, 4101.	101-General 102-Definitions of: "covered employees," "related entity," and "system institution." 109-General waiver provision, for all provisions of this regulation.		103-Prohibits "covered employees," spouse, or minor child from owning securities issued by a system institution or related entity. Defines "securities." Includes exceptions.	· ·	108-Prohibits employees from paid or unpaid service for any system institute or related entity. Requires prior written approval before any outside employment or business activity, with or without compensation. Defines "employment."	106-Requires filing a report when a dependent or spouse is employed in a position the employee is prohibited from occupying.		104-Prohibits certain employee, spouse, or minor child borrowing, and includes exceptions; 107-Restricts involvement in system institution board member elections.
OPIC, 4301.					101 -Requires approval prior to outside employment. Defines employment by 2635.603(a).			

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SEC,			102-A member or employee, his spouse or listed dependents are prohibited from purchasing, selling, or recommending any security in certain delineated situations. Prohibited from certain financial relationships or transactions with entities directly regulated by the SEC. Requires prior clearance of delineated transactions. Includes reporting requirements, exceptions, and waiver provisions for members		103-Defines "employee," "employment," "professional services," and "DAEO." Prohibits employment or activities that conflict with employment of the SEC (different for members and employees). Requires written prior approval for employees, and describes the procedure, and has approval standard. Includes appeal process. Requires submission of proposed publications or speeches related			
4401. OPM,	101-General		and employees.		to the SEC. 103-Requires written approval (except for SGEs) prior to engaging, with or without compensation, in certain delineated activities. Includes definitions of "active participants," "nonpublic information," "professional services," "prohibited sources," and "relates to the employees'			102-Notification requirement if employee, spouse, minor child, or business general partner taking examinations after employee takes part in constructing
ICC, 5001.	101 -General 101 -General		102-Prohibits employees from "directly or indirectly" being employed by or owning securities in a for-hire transportation company. Defines "indirectly." Includes exceptions.		104-Requires (other than for a commissioner) written approval prior to outside employment, with or without compensation, and includes approval standard.		103 -Impartiality determination for members of the ICC.	examinations.
CFTC, 5101.	101 -General		102-Prohibits members or employees from certain financial holdings or transactions. Crossreferences Commodities Exchange Act.		103-Encourages some outside activities, prohibits employment involving activities the CFTC may be significantly interested in or is otherwise prohibited. Requires written approval (except for SGEs) prior to other outside employment, with or without compensation, and includes procedures, including written request. Defines "employment."			

	Preliminary Sections	Designation of Separate Agency Components/Additional Rules	Prohibited Interests/Holdings	Restricted Purchase or Use of Property/ Assets	Outside Employment/Activities of Employee (restrictions and approval requirements)	Outside Employment/Activities of Family Members	Procedure for Accomplishing Disqualification	Miscellaneous
DOL, 5201.		102-Agency components are designated separate agencies concerning gifts from outside sources; teaching, speaking, or writing; or fundraising in a personal capacity. Includes definitions of "remainder of the department," "remainder of ESA," and includes separate section on "applicability of separate agency designations." 104-105-Additional Rules for Office of Inspector General and for Mine Safety and Health Administration employees. Section 105 has waiver provision.			103 -Permits certain fundraising activities in a personal capacity.			
	101 -Includes purpose, and definitions: "award," "employee," "institution,"				103-Prohibits NSF employees from "directly or indirectly" receiving compensation or reimbursement of expenses from an NSF award or from any event supported by NSF funds. Requires written approval prior to compensated employment with entity expected to do			102-Participation in proposals and awards; creates additional, narrowly defined covered relationships and has reporting requirements; has an automatic disqualification standard. 104-Personal or official participation in NSF-supported conferences. 105-Restrictions applicable to Members of the National Science Board for purposes of determining disqualification from participation in proposals, awards and outside employment and

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HHS, 5501.	101-Includes purpose, applicability, and definitions in accordance with 5 CFR 2635 and 2640 except: "federally recognized Indian tribe or Alaska Native village or regional or village corporation," and "significantly regulated organization."	102 -Agency components are designated separate agencies for purposes of gifts from outside sources; requiring approval for outside activities; receipt of awards from NIH; and teaching, speaking, or writing. Includes definitions	children from having a financial interest in a substantially affected		106-Defines "compensation," "consultative services," and "professional services." Prohibits employees from certain compensated consultative or professional services. Prohibits employees from certain other compensated employment, with exceptions. Requires prior written approval, and includes standard for approval. Includes waiver provision. Applies to SGEs; allows compensation for teaching, speaking or writing in certain circumstances. 109-Provides 13 definitions. Prohibits employees from certain employment and other compensated activities, with exceptions.		112- Disqualifies an award recipient for one year from participating in a matter involving the donor.	103-An employee may accept certain gifts from Indian tribes/Alaskan Natives. 105-Exemption for otherwise disqualifying financial interests derived from Indian or Alaska Native birthrights. 108-Exceptions to prohibition against assisting in acting as an agent/attorney before the government, applicable only to certain employees. 111-Defines "official responsibility" according to 18 USC 202(b). Limits employees for matters affecting an award donor, and awards require prior written approval. Includes exceptions, and what to do if an unapproved award is accepted.
HHS, 5502.	101 -General	106, 107 -Supplemental disclosure of financial interests applicable to FDA employees and NIH employees.						102-105-Supplemental financial disclosure requirements for certain employees (other than SGEs).
PRC, 5601.	101 -Includes purpose and definition of "affected persons."		102-Prohibts employees from having financial interests in a person whose interests are significantly affected by the postal service.		104-Prohibits employment, paid or unpaid, with an entity whose interests are significantly affected by the operations of the postal service. Requires written approval prior to any outside employment. Defines "employment" and "profession."		103 -Written notice of disqualification requirement when seeking employment.	
FTC, 5701.					 101-Requires written approval prior to outside employment, defines "employment," and includes approval standard. 102-Prohibits employees from soliciting funds from certain prohibited source 			

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NRC, 5801.	101 -General		102-Prohibits covered employees, spouses, and minor children from owning securities by an entity on a prohibited securities list (produced each year). Defines "covered employee," and "securities." includes divestiture, disqualification, and waiver provisions.		103-Requires written authorization from employee (except for SGEs) prior to certain delineated outside employment. Includes approval procedure and standard. Defines "outside employment."			
	101 -Has sections concerning to whom this regulation applies, crossreferences, and defines "agency designees."				102-Requires written approval (except for SGEs) prior to any compensated and certain uncompensated outside employment, with exceptions, defines "employment," and includes procedures for approval. Prohibits certain delineated outside employment, with exceptions. Includes approval procedure and standard.		103-Written notice of disqualification requirement for financial interests and prospective employment. Has withdrawal of notification procedure.	
DOT, 6001.	101 -General	102-Defines Agency Designees. 103-Agency components are designated separate agencies for purposes of governing gifts from outside sources and teaching, speaking, or writing.	104-Prohibits employees of FRA and FAA, spouse, or minor child from financial interests with related industries, including exceptions, waiver provisions, and period to divest.					
Ex-Im Bank, 6201.	101 -General				102 -Requires written approval prior to any outside employment, compensated or not. Has procedures for approval and definition of "employment." Includes standard for approval.			
ED, 6301.	101 -General				102-Requires prior written approval (except for SGEs) for certain delineated outside activities. Includes exceptions and approval standard. Defines "active participant," "prohibited source," and "relates to the employee's official duties."			
EPA, 6401.	101 -General		102-Prohibits employees of certain offices from holding certain financial interests. Defines "direct financial interest," and "indirect financial interest." Cross-references surface mining regulations. Has a waiver provision.		103 -Requires written approval prior to outside employment involving specified services. Includes approval procedure and standard. Defines "employment."			

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NEA, 6501.	101 -General				102-Requires written approval (except for SGEs) prior to compensated or uncompensated outside employment with a prohibited source, including the approval procedure and standard, and definition of "outside employment."			
NEH, 6601.	101 -General				102-Requires written approval (except for SGEs) prior to outside employment, compensated or uncompensated, with a prohibited source, including the procedures and standard for approval, and definition of "outside employment."			
GSA, 6701.	101 -General			103-Prohibits employees, spouses, and minor children from purchasing real or personal property from the GSA, with exceptions and a waiver provision. 104-Prohibits purchases of real estate by certain GSA employees involved in the acquisition or disposal of real estate, with exceptions and a waiver provision. 105-Prohibits employee from taking/disposing government property unless authorized.				102-Prohibition on solicited sales to subordinates, with exceptions. 107-Reporting waste/fraud/abuse/corruption.
FRB, 6801.	101-Purpose 102-Defines: "affiliate," "debt or equity interest," "dependent child," "depository institution," "employee," "primary government securities dealer," and "supervisory employee."		103-Prohibits employee, spouse, or minor child from owning/controlling certain debt or equity interests, with exceptions for "nonbanking holding companies," "mutual funds," and "pension plans." Includes waiver and disqualification provisions.		109 -Requires written approval prior to outside compensated employment, and defines "employment." Includes approval standard.	108-Prohibits supervisory employee from participating in particular matters involving employer of spouse, child, parent or sibling, unless authorized.	107-Disqualification requirement for supervisory employees from matters involving lenders. Includes waiver provision.	105-Prohibition on preferential terms from regulated institutions. 106-Prohibition on supervisory employees seeking credit from institutions involved in work assignments. Includes waiver provision and exemptions.
NASA, 6901.	101 -General				103-Prohibits employees (except SGEs) from certain outside employment and requires written approval before certain other outside activities. Includes approval procedure, standard, scope of approval and notification provision. Defines "key official," "outside employment," "profession," and "prohibited source."			

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USPS, 7001.	101 -General, including cross-reference to Postal Service rules.		103-References 18 USC 440, which prohibits against interests in contracts to carry mail and acting as agent for contractors.		102-Prohibits specified outside employment/business activities. Requires approval prior to certain outside employment/business activities, and includes approval procedures and standard. Defines "outside employment or business activity" and contains two definitions specific to USPS.			
NLRB, 7101.	101 -Includes purpose, and ethics program responsibilities for the DAEO and ADAEO.				102-Requires written approval prior to compensated or uncompensated outside employment, including approval procedure, standard and definition of "employment."		103-Written notice of disqualification requirement for disqualifying financial interests.	
EEOC, 7201.	101 -General				102-Prohibits certain outside employment for all employees and other outside employment for some employees (does not apply to SGEs). 103-Requires written approval prior to compensated or uncompensated outside employment for all employees. Defines "employment" and includes standard for denying approval.			
IAF, 7301.	101 -Includes purpose and designation of agency ethics official (DAEO and ADAEO).				102 -Requires most employees to receive written approval prior to outside teaching, speaking or writing for compensation and standard for approval.			
MSPB, 7401.	101 -Includes purpose and cross-references.				102-Requires written approval (except for SGEs) prior to any outside employment, with or without compensation. Defines "employment," which includes "consultative services" and "professional services." Includes approval procedure and standard and responsibilities of the DAEO and ADAEO to issue instructions on categories of employment for approval.			
HUD, 7501.	101-Purpose 102-Defines: "affiliate," "agency designee," "agency ethics official," "assistance," "Designated Agency Ethics Official," "employment," and "security." 103-General waiver provision, for all provisions of this regulation.	106-Additional rules for certain department employees involved in the regulation or oversight of government sponsored enterprises. Includes definition of "covered employees," and "mortgage institution." Includes provisions on prohibited financial interests, prohibited outside employment, and other prohibitions.	104-All HUD employees (except SGEs), who are not "covered employees," and their spouses or minor children are prohibited from "directly or indirectly" receiving, acquiring or owning certain financial interests. Includes exceptions, reporting and divestiture provision, and references the general waiver provision.		105-Prohibits (except SGEs) employment involving real estate activities or with an entity involved in certain lobbying activities. Includes exceptions. Requires, (except for SGEs) prior written approval before accepting certain employment. Includes approval standard. Encourages employees to volunteer personal time to nonprofit organizations (subject to 5 CFR 2635).			

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NARA, 7601.	101 -General			102 -Requires written approval (except for SGEs) prior to any outside employment, whether or not for compensation. Has approval standard and defines "employment." Includes scope of approval.			
IMLS, 7701.	101 -Purpose			102-Requires written approval (except for SGEs) prior to outside employment, whether or not for compensation, with a prohibited source. Includes request requirements. Defines "outside employment."			
CCR, 7801.	101 -Includes Purpose and definition of "Designated Agency Ethics Official."			102-Requires written approval (except for SGEs) prior to outside employment. Includes approval standard. Defines "outside employment." Commission may designate activities as generally approved. 102-Requires written approval (except for SGEs) prior to outside employment.			
TVA, 7901.	101 -General			Includes approval procedure and standard and defines "employment." Classes of employees can be exempted from requirements.			
CPSC, 8101.		102 -Commissioners subject to Section 4(c) of 15 USC 2053(c), prohibiting any other business, vocation, or employment.		103 -Requires written approval (except for SGEs) prior to outside employment. Includes approval procedure and standard and defines "employment." Has scope of approval (not to exceed 2 years).			
USDA, 8301.		103-107-Additional Rules for employees of the Farm Service Agency, the Food Safety and Inspection Service, the Office of the General Counsel, the Office of Inspector General, and for RD employees. Includes, among other things, prohibited financial holdings, prior approval requirement for outside employment and waiver provisions.		102-Requires written approval from employees required to file certain financial disclosure reports (except for SGEs) prior to outside employment. Includes approval procedure and standard and defines "employment." Lists responsibilities of component agencies.			
FMSHRC, 8401.	101 -General		102-Prohibts employees (except SGEs), spouses or minor children from having specified financial interests, with exceptions and divestiture and waiver provisions. Defines "securities."	103-Reqires employees G-13 or above or any commission attorney (except SGEs) to obtain written approval prior to any outside employment. Includes approval procedure and standard and defines "employment," and "professional services."			

FRTIB, 8601. 10:			Assets	Employee (restrictions and approval requirements)	Employment/Activities of Family Members	Accomplishing Disqualification	Miscellaneous
	01 -General			102-Requires written approval (except for SGEs) prior to outside employment. Includes approval procedure and standard and defines "employment." Includes additional requirements for approval of teaching, speaking or writing relating to official duties.			
OMB, 8701. 10:	01 -General			102 -Requires written approval (except for SGEs) prior to outside employment. Includes approval procedure and standard and defines "employment."			
Scc sta no	O1-Includes Purpose and cope; cross-references; catutory restrictions; and otice to employees. O2-Defines: "Affiliate," Designated Agency Ethics fficial," "Director," Employee," "Enterprise, Federal Home Loan Bank or Bank," "Regulated entity," "Safety and coundness Act," and Security." O3-General waiver rovision for all provisions of this regulation. In dditional waiver rovision for ownership or control of securities.	Includes exceptions and reporting and divestiture provisions. Includes restrictions arising from	spouse, or minor child from purchasing "directly or indirectly" property from a regulated entity	from certain employment and requires written approval (except for SGEs) prior to outside employment from a non-prohibited source. Defines "outside employment," including "consultative	the relevant regulated entity employs a spouse, child, parent, sibling, or member of household, unless authorized. Includes reporting requirement.		107-Other Limitations for the Director and Deputy Director; and caution for an employee who owns or whose spouse or minor child owns "directly or indirectly" certain financial interests. 108-Prohibits making recommendations about certain securities.