OVERVIEW

Each year, executive branch agencies submit to OGE responses to an Annual Questionnaire (Questionnaire).

The Questionnaire asks for information about ethics officials and the administration of agency ethics programs as well as core elements of the ethics program that assist in the identification and resolution of potential conflicts of interest.

The compiled data provides valuable insights about the executive branch ethics program.

www.oge.gov
136 executive branch agencies submitted Questionnaires

@OfficeGovEthics
ROLES & RESPONSIBILITIES

OGE is the supervising ethics office for the executive branch.

Each agency appoints ethics officials to provide employees assistance in identifying and resolving potential conflicts of interests.

Ultimately, it is the responsibility of each employee to abide by the ethics rules and standards of conduct.
More than 428,000 employees received annual ethics training. The majority of agencies include Initial Ethics Orientation as part of their agency’s in-processing process.

Recusal is the most often used method to remedy a conflict of interest.
ENFORCEMENT

# of disciplinary actions* taken based wholly or in part upon violations of the criminal conflict of interest statutes, 18 USC 203, 205, 207, 208 and 209 in 2013.

# of disciplinary actions* taken based wholly or in part upon violations of the standards of conduct provisions (Part 2635) in 2013.

*Disciplinary Action = include removals, demotions, suspensions, and written reprimands or their equivalents.

OGE’s mission is one of prevention. OGE does not adjudicate complaints, investigate matters within the jurisdiction of Inspectors General and other authorities, or prosecute ethics violations. For more information about enforcement, click here.
How many ethics officials are there?

<table>
<thead>
<tr>
<th>Year</th>
<th>Part-time</th>
<th>Full-time</th>
</tr>
</thead>
<tbody>
<tr>
<td>CY 2003</td>
<td>426</td>
<td>5,192</td>
</tr>
<tr>
<td>CY 2004</td>
<td>416</td>
<td>5,616</td>
</tr>
<tr>
<td>CY 2005</td>
<td>456</td>
<td>5,662</td>
</tr>
<tr>
<td>CY 2006</td>
<td>335</td>
<td>5,693</td>
</tr>
<tr>
<td>CY 2007</td>
<td>728</td>
<td>5,678</td>
</tr>
<tr>
<td>CY 2008</td>
<td>345</td>
<td>5,254</td>
</tr>
<tr>
<td>CY 2009</td>
<td>484</td>
<td>5,284</td>
</tr>
<tr>
<td>CY 2010</td>
<td>531</td>
<td>5,326</td>
</tr>
<tr>
<td>CY 2011</td>
<td>571</td>
<td>5,371</td>
</tr>
<tr>
<td>CY 2012</td>
<td>556</td>
<td>5,123</td>
</tr>
<tr>
<td>CY 2013</td>
<td>534</td>
<td>4,958</td>
</tr>
</tbody>
</table>

- **Number of ethics officials who worked part-time on ethics**
- **Number of ethics officials who worked full-time on ethics**
Designated Agency Ethics Official = the most senior ethics official at an agency

- **% of time DAEOS spent on ethics**
  - 0-25%: 15%
  - 26-50%: 9%
  - 51-75%: 3%
  - 76%-100%: 3% (90%)

- **Type of employee**
  - Career Employee: 90%
  - Political Appointee: 10%

- **% of DAEOS eligible to retire**
  - Eligible: 62%
  - Not Eligible: 38%

- **# of years spent in DAEO position**
  - 10 or more years: 38%
  - 5-9 years: 22%
  - 1-4 years: 22%
  - Less than 1 year: 38%
www.oge.gov
@OfficeGovEthics
You Tube
Google+