

5 CFR	General and Preliminary Sections	Designation of Separate Agency Components/Additional Rules	Prohibited Interests/Holdings	Restricted Purchase or Use of Property/ Assets	Outside Employment/Activities of Employee (restrictions and approval requirements)	Outside Employment/ Activities of Family Members	Procedure for Accomplishing Disqualification	Miscellaneous
Treasury, 3101.	101 -Includes purpose, bureau instructions, and definition of "agency designee."	102 -Agency components are separate agencies for purposes of gifts from outside sources and teaching, speaking, or writing. 105-108 -Additional rules for certain agency components; includes additional prohibitions on financial interests and outside employment; includes exceptions and waiver provisions for some.	105 -Prohibited financial interests for ATTB employees, includes waiver provision. 108 -Prohibited financial interests for OCC employees, includes definitions and waiver provision.	103 -Prohibits purchase of property/assets owned by the government and under the control of the employee's bureau. Includes exceptions and waiver provision. 108 -Prohibited purchase of assets for OCC employees, includes definitions and waiver provision.	104 -Requires written approval prior to outside employment or business activities, unless excepted by manuals/instructions required from each bureau. Has approval standards. 106 -Prohibited outside employment for IRS and Treasury IG employees. 107 -Prohibited outside employment for Legal Division employees. 108 -Prohibited outside employment for OCC employees, includes definitions and waiver provision.			
FDIC, 3201.	101 -Includes purpose, designations, and definitions of: "affiliate," "appropriate director," "covered employee," "employee," "ethics counselor," "security," "state nonmember bank," and "subsidiary."	108 -Cross-referencing to related statutory and regulatory authorities.	103 -Prohibits employees or family from acquiring, owning, or controlling a security insured or supervised by the FDIC. Includes exceptions, waiver provision, and disclosure/ disqualification requirements.	104 -Restricts purchase of property held by FDIC or RTC including disqualification requirements.	107 -Prohibits employment with FDIC-insured institution; prohibits using certain professional licenses for income; imposes requirement to consult with an agency designee.	106 -Prohibits employee from examining employer of family member, and has reporting requirement.		102 -Extensions of credit and loans from FDIC-insured institution, includes exceptions and waiver section. 105 -Prohibition on dealings with former employers, associates, and clients, includes definition of "employer." 109 -Certain provisions of 5 CFR 2635 not applicable to Corporation employees.
DOE, 3301.	101 -Includes purpose and definitions of: "agency designee" and "counselor."				103 -Requires written approval (other than for SGEs) prior to outside employment from supervisor and DAEO; has approval standards. Defines "employment."		102 -Written notice of disqualification requirement and method of withdrawal of notice.	
FERC, 3401.	101 -General		102 -Prohibits employee, spouse, or minor child of employee from holding securities in certain energy and electrical companies. Includes a waiver provision, and defines "securities" and "parent."		104 -Requires written approval (other than for SGEs) prior to outside employment with a prohibited source. Has approval standards. Defines "employment."		103 -Written notice of disqualification requirement and method of withdrawal of notice.	

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DOI, 3501.	101 -Includes definitions of: "department," "bureau," "ethics counselor," and "deputy ethics counselor." Also includes bureau instructions.	102 -Agency components are separate agencies for purposes of: gifts from outside sources; teaching, speaking, and writing; and requirements of prior approval of outside employment. 103-105 -Additional rules/ prohibitions for employees in certain Bureaus.	103 -Cross-references to statutory prohibitions. Prohibits employees in certain Bureaus from acquiring or holding financial interests in federal lands/resources administered by the DOI. Includes exceptions and a waiver provision, and has a grandfathering provision. 104 -Cross-references to statutory prohibitions. Prohibits USGS employees or family from having a financial interest in mining activities. Defines "financial interest" and "private mining activities." Includes exceptions, a divestiture requirement, and a waiver provision. Has a grandfathering provision.		105 -Prohibits USGS employees from surveying for private entities. Prohibits BLM employees from employment in real estate (but allows them to keep realty licenses). Prohibits BIA employees from holding a position on a tribal board. Requires written approval from other employees (other than SGEs) prior to outside employment with a prohibited source. Specifies form of request for approval and standard for approval. Defines "employment" and "prohibited source."			
DOD, 3601.	101 -Purpose	102 -Agency components are separate agencies for purposes of: gifts from outside sources; and teaching, speaking, and writing.			107 -Requires written approval prior to a business activity or compensated outside employment with a prohibited source. Defines "business activity," "employment," and "prohibited source." Ethics official can exempt certain categories of employment.		105 -Written notice of disqualification requirement concerning financial interests, impartiality and prospective employment. Method of withdrawal of notice.	103 -Exceptions allowing an employee to accept gifts, in addition to 5 CFR 2635.204 (including scholarships and grants). 104 -Limitations on gifts between DOD employees. 106 -Limitation on solicited sales. 108 -Disclaimer requirement for speeches and writings devoted to agency matters.
DOJ, 3801.	101 -General	102 -FBI and DEA are subject to these supplemental regulations. 103 -Agency components are separate agencies for purposes of: gifts from outside sources; and teaching, speaking, and writing provisions of 2635.807. 107 -Additional rules for ATF employees regarding prohibited financial interests; includes waiver provision.	107 -Additional rules for ATF employees regarding prohibited financial interests; includes waiver provision.	104 -Prohibits purchase from DOJ property forfeited to the US without prior approval. Greater restrictions for USMS, FBI, and DEA employees. 105 -Prohibits employees from using government property for unauthorized purposes. Cross-references to DOJ regulations.	106 -Defines "outside employment," and prohibits employees from outside employment involving the practice of law (with exceptions), and has a waiver provision. Requires written approval prior to certain other types of employment, and has approval standard.			

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FCC, 3901.	101-General				102-Requires "professional employees" to obtain written approval prior to engaging in the same profession as the employee's official position, whether or not compensated, and has approval procedure and standard.			
FCC, 3902.	101-General							102-Employees required to submit FCC Form A54A "Confidential Supplemental Statement of Employment and Financial Interests." 103-Submission and review process of employees' statements. 104-Confidentiality of employees' statements.
FCIC, 4001.	101-General 102-Definitions of: "covered employees," "related entity," and "system institution." 109-General waiver provision for all provisions of this regulation.		103-Prohibits "covered employees," spouse, or minor children from owning securities issued by a system institution or related entity. Defines "securities." Includes exceptions.	105-Prohibits employees, spouse or minor children from purchasing an asset from a system institution or related entity. Includes a disqualification provision.	108-Prohibits employees from paid or unpaid service for any system institution or related entity. Requires prior written approval before any outside employment or business activity, with or without compensation. Defines "employment."	106-Requires filing a report when a dependent or spouse is employed in a position the employee is prohibited from occupying.	105-Written notice of disqualification requirement relating to purchase of system assets.	104-Prohibits borrowing from system institutions by "covered employees," spouse, or minor child, and includes exceptions. 107-Restricts involvement in system institution board member elections.
FCA, 4101. DFC (formerly OPIC), 4301.	101-General 102-Definitions of: "covered employees," "related entity," and "system institution." 109-General waiver provision for all provisions of this regulation.		103-Prohibits "covered employees," spouse, or minor children from owning securities issued by a system institution or related entity. Defines "securities." Includes exceptions.	105-Prohibits employees, spouse or minor children from purchasing an asset from a system institution or related entity. Includes a disqualification provision.	108-Prohibits employees from paid or unpaid service for any system institute or related entity. Requires prior written approval before any outside employment or business activity, with or without compensation. Defines "employment."	106-Requires filing a report when a dependent or spouse is employed in a position the employee is prohibited from occupying.	105-Written notice of disqualification requirement relating to purchase of system assets.	104-Prohibits borrowing from system institutions by "covered employee," spouse, or minor child, and includes exceptions. 107-Restricts involvement in system institution board member elections.
					101-Requires approval prior to outside employment. Defines employment by reference to 2635.603(a).			

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SEC, 4401.	101-General		102-A member or employee, spouse, or listed dependents are prohibited from purchasing, selling, or recommending any security in certain delineated situations. Prohibited from certain financial relationships or transactions with entities directly regulated by the SEC. Requires prior clearance of delineated transactions. Includes reporting requirements, exceptions, and waiver provisions for members and employees. Includes appeal process.		103-Defines "employee," "employment," "professional services," and "DAEO." Prohibits employment or activities that conflict with employment of the SEC (different for members and employees). Requires written prior approval for employees, describes the procedure, and has approval standard. Requires submission of proposed publications or speeches related to the SEC. Encourages employees to participate in pro bono services.			
OPM, 4501.	101-General				103-Requires written approval (except for SGEs) prior to engaging, with or without compensation, in certain activities. Includes definitions of "active participants," "nonpublic information," "professional services," "prohibited sources," and "relates to the employees' official duties." Includes submission instructions and approval standard.			102-Notification requirement if employee, spouse, minor child, or business general partner taking examinations after employee takes part in constructing examinations.
DHS, 4601.	101-General; includes definitions of "agency designee," "outside employment or activity," "Chief Deputy Ethics Official," and "Special Government Employee."	102-Agency components are separate for purposes of gifts from outside sources, prior approval requirements, designation of officials, and teaching/speaking/ writing. 104-Additional restrictions for CBP employees (except SGEs) relating to outside employment of the employee and certain others. 105-Additional restrictions for FEMA employees (except SGEs) relating to outside employment; includes waiver provision. 106-Additional restrictions for ICE employees (except SGEs) relating to outside employment of the employee and certain others.		107-Employees may not purchase, directly or indirectly, certain property. Includes waiver provision.	103-Requires written approval (except for SGEs) prior to engaging in any outside employment or activity, with or without compensation. Includes standard for approval and agency responsibilities. 104-Additional restrictions for CBP employees (except SGEs) relating to outside employment of the employee and certain others. 105-Additional restrictions for FEMA employees (except SGEs) relating to outside employment; includes waiver provision. 106-Additional restrictions for ICE employees (except SGEs) relating to outside employment of the employee and certain others.	104-Additional restrictions for CBP employees (except SGEs) relating to outside employment of the employee and spouse, relatives, members of the household or dependents. 106-Additional restrictions for ICE employees (except SGEs) relating to outside employment of the employee and spouse, relatives, members of the household or dependents.		108-Reporting waste, fraud, abuse, and corruption.

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FEC, 4701.	101-Scope				102-Requires employees (but not SGEs or a member of the Commission) to obtain prior written approval for certain outside employment. Defines "active participant," "employee," "outside employment," and "related to employee's official duties." Includes approval procedure and standards.			
ICC, 5001.	101-General 103-Defines member as "agency designee" for impartiality determinations		102-Prohibits employees from "directly or indirectly" owning securities in a for-hire transportation company. Defines "indirect relationships and interests." Includes exceptions and waiver provision.		102-Prohibits employees from "directly or indirectly" being employed by or owning securities in a for-hire transportation company. Defines "indirect relationships and interests." Includes exceptions and waiver provision. 104-Requires (other than for a Commissioner) written approval prior to outside employment, with or without compensation, and includes approval standard and employment definition.			103-Impartiality determinations for members.
CFTC, 5101.	101-General		102-Prohibits members or employees from certain financial holdings or transactions. Cross-references Commodity Exchange Act.		103-Encourages some activities; prohibits non-Federal employment involving activities CFTC may be significantly interested in (as specifically described) or otherwise prohibited; narrower SGE restrictions. Requires written approval (except for SGEs) prior to other outside employment, with or without compensation, and includes procedures, including written request process. Defines "employment."			
DOL, 5201.	101-General	102-Agency components are designated separate agencies for purposes of governing gifts from outside sources; teaching, speaking, or writing; or fundraising in a personal capacity . Includes definitions of "remainder of the Department," "remainder of ESA," and includes separate section on "applicability of separate agency designations." 104-105-Additional Rules for Office of Inspector General (prior approval for outside employment) and for Mine Safety and Health Administration employees (prohibited financial interests). Section 105 has exceptions and waiver provisions.	105-Prohibited financial interests for Mine Safety and Health Administration employees; includes exceptions and waiver provisions.		103-Permits certain fundraising activities in a personal capacity. 104-Prior approval required for outside employment for IG employees; includes standard for approval, defines "employment."			

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NSF, 5301.	101 -Includes purpose, and definitions: "award," "employee," "institution," and "proposal."	105 -Restrictions applicable to Members of the National Science Board for purposes of determining disqualification from participation in proposals, awards and outside employment and activities.			103 -Prohibits NSF employees from "directly or indirectly" receiving compensation or reimbursement of expenses from an NSF award or from any event supported by NSF funds. Requires written approval prior to compensated employment with entity expected to do business with NSF, defines "employment," and includes procedure and approval standard. 105 -Restrictions applicable to Members of the National Science Board relating to proposals, awards and outside employment/activities.			102 -Participation in proposals and awards; creates additional, narrowly defined covered relationships and has reporting requirements; includes an automatic disqualification standard. 104 -Personal or official participation in NSF-supported conferences.
HHS, 5501.	101 -Includes purpose, applicability, and definitions in accordance with 5 CFR 2635 and 2640 except: "federally recognized Indian tribe or Alaska Native village or regional or village corporation," and "significantly regulated organization."	102 -Agency components are designated for purposes of gifts from outside sources; requiring approval for outside activities; receipt of awards from NIH; and teaching, speaking, or writing. Includes definitions of "employee of a component," "remainder of HHS" and "applicability of separate agency designations." 104 -Prohibited financial interests for FDA employees. 106 -Specific outside employment restrictions for FDA employees (SROs and FDA regulated products), OGC employees (outside practice of law). 107 -Teaching, speaking and writing by SGEs in the Public Health Service. 109 -Prohibited outside employment for NIH employees. 110 -Prohibited financial interest for senior employees at NIH. 111 -Awards to NIH employees (not SGEs). 112 -One year disqualification for NIH employees from certain matters involving award donor.	104 -Prohibits an FDA employee, spouse or minor child from having a financial interest in a significantly regulated organization. Includes exceptions. 110 -Prohibits senior employees of the NIH, spouse, or minor children from having a financial interest in a substantially affected organization. Defines "senior employee," and "substantially affected organization." Includes exceptions.		106 -Defines "compensation," "consultative services," and "professional services." Not applicable to SGEs. Prohibits employees from certain compensated consultative or professional services. Prohibits employees from certain other compensated employment, with exceptions. Contains additional restrictions for certain categories - e.g., FDA, Office of General Counsel. Requires prior written approval, and includes standard for approval. Includes waiver provision. 107 -Applies to SGEs in the Public Health Service; allows compensation for teaching, speaking or writing in certain circumstances. 109 -Provides 13 definitions. Prohibits NIH employees from certain employment and other compensated activities, with exceptions.			103 -An employee may accept certain gifts from Indian tribes/ AK Natives. 105 -Exemption for otherwise disqualifying financial interests from Indian/ AK Native birthrights. 108 -Exceptions (for certain employees) to prohibition against assisting in acting as an agent/attorney before the govt. 111 -Awards to NIH employees (not SGEs). Limits employees for matters affecting an award donor; awards require prior written approval. Includes exceptions, and what to do if unapproved award is accepted. 112 -Disqualifies an award recipient for 1 year from participating in a matter involving the donor.

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HHS, 5502.	101-General	106, 107 -Supplemental disclosure of financial interests applicable to FDA employees and NIH employees.						102 -Annual supplemental report of outside employment or activities. 103 -Content of annual supplemental reports. 104 -Confidentiality of reports. 105 -Agency procedures.
PRC, 5601.	101 -Includes purpose and definitions of "securities," "parent," "person," "entity," "DAEO," "employee," "publicly-held corporation," and "dependent child."		102 -Prohibits employees, spouse and dependent children from having a financial interest in an entity on the prohibited securities list; includes exceptions and waiver provisions.		104 -Prohibits paid or unpaid outside employment with or for an entity on the prohibited securities list. 105 -Requires prior written approval from DAEO for outside employment, paid or unpaid; provides a standard for approval.		103 -Written notice of disqualification requirement when seeking employment.	
FTC, 5701.					101 -Requires written approval prior to outside employment for employees other than Commissioners, specifies procedures, defines "employment," and includes approval standard and waiver provision. 102 -Prohibits employees from fundraising from certain prohibited sources.			
NRC, 5801.	101-General		102 -Prohibits covered employees, spouses, and minor children from owning securities by an entity on a prohibited securities list (produced each year). Defines "covered employee," and "securities." includes divestiture, disqualification, and waiver provisions.		103 -Requires written authorization prior to outside employment with certain entities (except for SGEs). Includes approval procedure and standard. Defines "outside employment."			
FLRA, 5901.	101-General ; establishes "agency designees."				102 -Requires written approval (except for SGEs) prior to any compensated and certain uncompensated outside employment, with exceptions, defines "employment." Prohibits certain outside employment, with exceptions. Includes approval procedure and standard.		103 -Written notice of disqualification requirement for financial interests, impartiality, and prospective employment. Includes withdrawal procedure.	

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DOT, 6001.	101 -General 102 -Defines "agency designees."	103 -Agency components are designated separate agencies for purposes of governing gifts from outside sources and teaching, speaking, or writing. 104 -Additional financial interest prohibitions for FRA/FAA employees; includes waiver prohibition.	104 -Prohibits employees of FRA and FAA, spouse, or minor children from financial interests with related industries; includes exceptions, waiver provisions, and period to divest.					
Ex-Im Bank, 6201.	101 -General				102 -Requires written approval (except for SGEs) prior to any outside employment, compensated or not. Includes procedures and standard for approval and definition of "employment."			
ED, 6301.	101 -General				102 -Requires prior written approval (except for SGEs) for certain outside activities, compensated or not. Includes exceptions and approval standard. Defines "active participant," "prohibited source," and "relates to the employee's official duties."			
EPA, 6401.	101 -General	102 -Prohibited holdings for employees of certain offices. Includes definitions and waiver provision.	102 -Prohibits employees of certain offices from holding certain financial interests. Defines "direct financial interest," and "indirect financial interest." Cross-references surface mining regulations. Includes a waiver provision.		103 -Requires written approval prior to outside employment involving specific services, compensated or not. Includes approval procedure and standard. Defines "employment."			
NEA, 6501.	101 -General				102 -Requires written approval (except for SGEs) prior to compensated or uncompensated outside employment w/ prohibited source; includes approval procedure/standard, and definition of "outside employment."			
NEH, 6601.	101 -General				102 -Requires written approval (except for SGEs) prior to outside employment, compensated or uncompensated, w/ prohibited source; includes procedures/ standard for approval, and definition of "outside employment."			

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GSA, 6701.	101-General			<p>103-Prohibits employees, spouses, and minor children from purchasing real or personal property from the GSA, includes exceptions and a waiver provision.</p> <p>104-Prohibits purchases of real estate by certain GSA employees involved in the acquisition or disposal of real estate; includes exceptions and a waiver provision.</p>	<p>106-Requires written approval (except from SGEs) prior to outside employment with a prohibited source, compensated or not. Includes approval procedure and standard and defines "employment" and "prohibited source."</p>			<p>102-Prohibition on solicited sales to subordinates, with exceptions.</p> <p>105-Prohibits employee from taking/disposing of Government property unless authorized.</p> <p>107-Reporting waste/fraud/abuse/corruption.</p>
FRB, 6801.	<p>101-Purpose</p> <p>102-Defines: "affiliate," "debt or equity interest," "dependent child," "depository institution," "employee," "primary government securities dealer," and "supervisory employee."</p>		<p>103-Prohibits employee, spouse, or minor child from owning/controlling certain debt or equity interests, with exceptions for "nonbanking holding companies," "mutual funds," and "pension plans." Includes waiver and disqualification provisions.</p>		<p>109-Requires written approval prior to outside compensated employment, and defines "employment." Includes approval standard.</p>	<p>108-Prohibits supervisory employee from participating in particular matters involving depository institution employer of spouse, child, parent or sibling, unless authorized.</p>		<p>105-Prohibition on preferential terms from regulated institutions.</p> <p>106-Prohibition on supervisory employees seeking credit from institutions involved in work; includes waiver provision and exemptions.</p> <p>107-Disqualification for supervisory employees from lender matters; includes exceptions, waiver provision.</p>
NASA, 6901.	101-General				<p>103-Prohibits employees (except SGEs) from certain outside employment and requires written approval before certain other outside activities. Includes approval procedure, standard, scope of approval and notification provision. Defines "outside employment," "profession," and "student intern."</p>			
USPS, 7001.	101-General, including cross-reference to Postal Service rules.		<p>103-References 18 USC 440, which prohibits against interests in contracts to carry mail and acting as agent for contractors.</p>		<p>102-Prohibits specified outside employment/business activities. Requires approval prior to certain outside employment/business activities, and includes approval procedures and standard. Defines "outside employment or business activity" and contains two USPS-specific definitions.</p> <p>103-References 18 USC 440, prohibiting an employee from acting as an agent (compensated or uncompensated) for any contractor or person offering to become a contractor before the USPS.</p>			

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NLRB, 7101.	101 -Includes purpose, and ethics program responsibilities for the DAEO, ADAEO, and agency designees; designates certain employees for these roles.				102 -Requires written approval prior to compensated or uncompensated outside employment, includes approval procedure, standard, and "employment" definition.		103 -Written notice of disqualification requirement for disqualifying financial interests.	
EEOC, 7201.	101 -General				102 -Prohibits certain outside employment for all employees and other outside employment for some employees (does not apply to SGEs). 103 -Requires written approval prior to compensated or uncompensated outside employment for all employees. Defines "employment" and includes approval standard.			
IAF, 7301.	101 -Includes purpose and designation of certain employees as agency ethics officials (DAEO and ADAEO).				102 -Requires most employees to receive written approval prior to outside teaching, speaking or writing for compensation and standard for approval.			
MSPB, 7401.	101 -General, including purpose and cross-references.				102 -Requires written approval (except for SGEs) prior to any outside employment, with or without compensation. Defines "employment," which includes "consultative services" and "professional services." Includes approval procedure and standard and responsibilities of the DAEO and ADAEO to issue instructions on categories of employment for approval.			
HUD, 7501.	101 -Purpose 102 -Defines: "affiliate," "agency designee," "agency ethics official," "Bureau," "Bureau Ethics Counselor," "Deputy Bureau Ethics Counselor," "Designated Agency Ethics Official," "employment," and "subsidized by the Department." 103 -General waiver provision, for all provisions.	106 -Office of Inspector General designated as separate agency component.	104 -All HUD employees and their spouses or minor children are prohibited from "directly or indirectly" receiving, acquiring or owning certain financial interests (excludes SGEs). Includes exceptions, reporting and divestiture provision, and references the general waiver provision.		105 -Prohibits (except SGEs) employment involving certain real estate activities or with an entity involved in certain lobbying activities. Includes exceptions. Requires (except for SGEs) prior written approval before accepting certain employment. Includes approval standard. Discusses applicability of provisions to employees serving in official capacity as the Department's representatives to outside organizations.			

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NARA, 7601.	101-General				102-Requires prior written approval (except for SGEs) for outside employment, compensated or not. Includes approval standard, scope of approval, and defines "employment."			
IMLS, 7701.	101-Purpose				102-Requires prior written approval (except for SGEs) for outside employment with a prohibited source, whether or not for compensation. Includes request requirements. Defines "outside employment."			
CCR, 7801.	101-Includes purpose and designation of "Designated Agency Ethics Official."				102-Requires written approval (except for SGEs) prior to outside employment. Includes approval standard. Defines "outside employment." Commission may designate volunteer activities as generally approved.			
TVA, 7901.	101-General				102-Requires prior written approval (except for SGEs) for outside employment, compensated or not. Includes approval procedure and standard, defines "employment." Classes of employees can be exempted.			
CSOSA, 8001.	101-General, includes purpose and cross references.				102-Requires written approval (except for SGEs) prior to outside employment, compensated or uncompensated. Includes definition of "employment," standard of approval, scope, and submission and appeal procedures.			
CPSC, 8101.	101-General	102-Commissioners are subject to Section 4(c) of 15 USC 2053(c), prohibiting any other business, vocation, or employment.			102-Statutory outside activity restrictions for Commissioners. 103-Requires prior written approval (except for SGEs) for outside employment, compensated or uncompensated. Includes approval procedure and standard and defines "employment." Has scope of approval (not to exceed 2 years).			

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USDA, 8301.	101-General	103-107 -Additional Rules for employees of the Farm Service Agency, the Food Safety and Inspection Service, the Office of the General Counsel, the Office of Inspector General, and for RD employees. Includes, among other things, prohibited financial holdings, prior approval requirement for outside employment and waiver provisions. Some provisions include exceptions and waiver provisions.	103 -Prohibited real estate purchases and transactions for FSA employees, some exceptions and waiver provisions included. 107 -Prohibited real estate and prohibited transactions with RD program participants, for RD employees; includes some exceptions and waiver provisions.	103 -Prohibited real estate purchases and transactions for FSA employees, some exceptions and waiver provisions included. 107 -Prohibited real estate and prohibited transactions with RD program participants, for RD employees; includes some exceptions and waiver provisions.	102 -Requires, for employees required to file certain financial disclosure reports (except for SGEs), written approval prior to outside employment. Includes approval procedure and standard, defines "employment." Lists responsibilities of component agencies. 103 -Prior written approval requirement for outside activities of FSA employees, includes definition and waiver provision. 104 -Prior written approval requirement for outside activities of FSIS employees. 105 -Prior written approval requirement for OGC attorneys to engage in the outside practice of law, compensated or not. 106 -Prior written approval requirements for certain outside employment for Office of Inspector General employees. 107 -Prior written approval requirements for certain outside employment for RD employees, includes definition and waiver provision.			
FMSHRC, 8401.	101-General		102 -Prohibits employees, spouses or minor children from having specified financial interests, including compensated employment or indebtedness, in certain companies engaged in mining activities (excludes SGEs). Includes exceptions and divestiture and waiver provisions. Defines "securities."		103 -Requires employees GS-13 or above or any Commission attorney (except SGEs) to obtain written approval prior to any outside employment, compensated or uncompensated. Includes approval procedure and standard and defines "employment," and "professional services."			
FRTIB, 8601.	101-General				102 -Requires written approval (except for SGEs) prior to outside employment, compensated or uncompensated. Includes approval procedure and standard and defines "employment." Includes additional requirements for approval of teaching, speaking or writing relating to official duties.			
OMB, 8701.	101-General				102 -Requires written approval (except for SGEs) prior to outside employment, compensated or uncompensated. Includes approval procedure and standard, and defines "employment."			

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FHFA, 9001.	<p>101-General - Includes purpose and scope; reference to other statutory restrictions; and notice to employees.</p> <p>102-Defines: "Affiliate," "Designated Agency Ethics Official," "Director," "Employee," "Enterprise," "Federal Home Loan Bank or Bank," "Regulated Entity," "Safety and Soundness Act," and "Security."</p> <p>103-General waiver provision for all provisions of this regulation. Additional waiver provision for ownership or control of securities.</p>	107 -Notes additional financial interest limitations for certain agency Directors set forth in 12 USC 4512(g).	<p>104-Employees, spouses, and minor children are prohibited from "directly or indirectly" owning or controlling securities from regulated entities (excludes SGEs). Includes exceptions and reporting and divestiture provisions. Includes restrictions arising from third party relationships.</p> <p>107-Notes additional financial interest limitations for certain agency Directors set forth in 12 USC 4512(g).</p>	109 -Prohibits employee, spouse, or minor children from purchasing "directly or indirectly" property from a regulated entity unless sold at fair market value.	<p>105-Prohibits employees (except SGEs) from certain employment and requires written approval (except for SGEs) prior to outside employment that isn't otherwise prohibited from a non-prohibited source, compensated or uncompensated. Defines "outside employment," including "consultative services" and "professional services." Also includes approval procedure and standard. DAEO may issue instruction exempting categories of employment.</p>	106 -Disqualifies employees from participating in a particular matter where the relevant regulated entity employs a spouse, child, parent, sibling, or member of household, unless authorized. Includes reporting requirement.		<p>107-Other limitations for the Director and Deputy Director; and caution for an employee who owns or whose spouse or minor child "directly or indirectly" owns certain financial interests.</p> <p>108-Prohibits employees from making certain recommendations concerning securities.</p>
SIGAR, 9303.	101 -General				102 -Requires written approval (except for SGEs) prior to certain outside employment, whether or not for compensation. Includes procedures for requesting approval and approval standard. Defines "active participant," "nonpublic information," professional services," "prohibited source," and "relates to the employee's official duties."			

5 CFR	General and Preliminary Sections	Designation of Separate Agency Components/Additional Rules	Prohibited Interests/Holdings	Restricted Purchase or Use of Property/ Assets	Outside Employment/Activities of Employee (restrictions and approval requirements)	Outside Employment/ Activities of Family Members	Procedure for Accomplishing Disqualification	Miscellaneous
CFPB, 9401.	<p>101-General - includes purpose and cross references.</p> <p>102-Defines 21 terms.</p> <p>113-General waiver provision.</p>	<p>104-Additional rules for outside employment for certain "covered employees" (defined term), including prohibited outside employment with an entity supervised by the Bureau and restrictions on use of professional licenses relating to real estate.</p> <p>105-Additional rules for outside employment for Bureau attorneys (certain outside practice of law prohibited).</p>	<p>106-Employees (and spouses and minor children) are prohibited from owning or controlling a security in an entity supervised by the Bureau or a collective investment fund that has a stated policy of concentrating its investments in the financial services or banking industry. Includes exceptions and reporting, disqualification, and divestiture requirements. Includes waiver provision, and provisions relating to "covered third party entities" (defined term) owning or controlling such securities.</p>	<p>112-Restrictions on employee, spouse or minor child purchasing, directly or indirectly, any real or personal property from an entity supervised by the Bureau.</p>	<p>103-Requires written approval before engaging in outside employment (unless the activity has been exempted by the agency). Defines "employment," includes standard for approval and DAEO responsibilities.</p> <p>104-Additional rules for outside employment for certain "covered employees" (defined term), including prohibited outside employment with an entity supervised by the Bureau and restrictions on use of professional licenses relating to real estate.</p> <p>105-Additional rules for outside employment for Bureau attorneys; certain outside practice of law prohibited, exemption for self-representation provided.</p>	<p>108-Specific rules for employee's spouse or minor child seeking, obtaining, or renegotiating credit or indebtedness.</p>		<p>107-Prohibition on accepting credit/debt on preferential terms from supervised entity.</p> <p>108-Restrictions on credit/debt in party matters, includes waiver provision & exceptions.</p> <p>109-Disqualification involving existing creditors or lenders, includes exceptions & authorization procedure.</p> <p>110-Prohibits recommendations re: securities in a supervised entity, or entity that is a party or represents a party.</p> <p>111-Restriction on participating in party matters involving "covered entity" (defined term); includes waiver provision.</p>
NCUA 9601.	<p>101-General, includes purpose and cross references.</p> <p>102-Defines "employment" and "credit union-related entities."</p>				<p>103-Prohibits outside employment, with or without compensation, with any credit union, credit union trade group, credit union service organization, or other credit union-related entity, in any capacity.</p> <p>104-Requires written approval (other than SGEs) before engaging in outside employment, with or without compensation. Includes procedure for requesting approval and standard for approval.</p>			<p>105-DAEO's responsibilities relating to issuing instructions and exemptions from outside employment approval requirements.</p>
NMB, 101010.	<p>101-General</p>				<p>102-Requires written approval prior to outside employment, with or without compensation. Includes procedure for requesting approval, standard for approval, and definition of "employment."</p>			