

ETHICS PROGRAM REVIEW FOLLOW-UP REPORT

Agency: National Archives and Records Administration (NARA)

Follow-up to OGE Report Number: 21-49I and 22-19IF

Report No.: 22-45IF

Date: July 25, 2022

**UNITED STATES OFFICE OF
GOVERNMENT ETHICS**Preventing Conflicts of Interest
in the Executive Branch

The Office of Government Ethics (OGE) completed its review of the National Archives and Records Administration (NARA) ethics program in September 2021. As a result of its review, OGE issued three recommendations for improvement. OGE conducted a follow-up review in February 2022 but was only able to close one of the three recommendations at that time. OGE conducted a second follow-up review in July 2022. The results of OGE's second follow-up review are summarized below.

	Recommendation	Agency Action and OGE Finding	Status
1	Ensure that new entrant confidential financial disclosure reports are certified timely.	OGE examined a sample of 19 new entrant confidential financial disclosure reports filed at NARA during calendar year 2022. 18 out of 19 (95%) were filed timely.	Closed
3	Certify all SGE financial disclosure reports timely, as required.	OGE examined a sample of 10 SGE financial disclosure reports filed at NARA during calendar year 2022. All 10 were certified timely.	Closed

Based on the results of OGE's follow-up review, all recommendations are closed.