

Report Type:	Candidate
Year (Annual Report only):	
Date of Appointment/Termination:	May 28, 2015

RECEIVED  
FEDERAL ELECTION  
COMMISSION

UNITED STATES OFFICE OF  
GOVERNMENT ETHICS

Preventing Conflicts of Interest  
in the Executive Branch

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information			
Last Name	First Name	MI	Position
Pataki	George	E.	

Other Federal Government Positions Held During the Preceding 12 Months:

Name of Congressional Committee Considering Nomination (Nominees only):

Filer's Certification - I certify that the statements I have made in this report are true, complete and correct to the best of my knowledge:

Signature: *George E. Pataki* Date: 08/03/2015

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below)

Signature: *[Signature]* Date: 8/4/15

Other Review Conducted By:

Signature: *Bianca M. Gony* Date: 8/4/15

U.S. Office of Government Ethics Certification (if required):

Signature: *Walter M. Murphy* Date: 4/20/16

Comments of Reviewing Officials:

Cover page and all parts amended by filer. OGE/HAS 4/19/16

Reviewed for Apparent Compliance with the Federal Election Campaign Act

Instructions for Part 1

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

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**Part 1: Filer's Positions Held Outside United States Government**

#	Organization Name	City/State	Organization Type	Position Held	From	To
1.	Pataki-Cahill Group LLC	New York, NY	LLC	Manager Member	April 2007	Present
2.	Chadbourne & Parke LLP	New York, NY	Law Firm	Counsel	March 2007	Present
3.	Cosan, Ltd	Sao Paulo, Brazil	Limited Company	Member of Board of Directors	July 2007	Present
4.	ThalesNano Technologies, Inc.	Budapest, Hungary	Corporation	Advisory Board	September 2009	Present
5.	NetMotion Wireless, Inc.	Seattle, Washington	Corporation	Member of Board of Directors	February 2013	Present
6.	The Northeast Maglev	Marietta, GA	Corporation	Advisory Board	July 2013	Present
7.	Danube Sicar Fund LP	Budapest, Hungary	Investment Fund	Managing Director	December 2009	Present
8.	Enertech Capital	Conshohocken, PA	Investment Fund	Advisory Board	September 2010	Present
9.	New-York Historical Society	New York, NY	Non-profit	Member of Board of Directors	April 2007	Present
10.	The Hungarian Initiatives Foundation	Washington, DC	Non-profit	Member of Board of Directors	June 2013	Present
11.	New York Presbyterian/Hudson Valley Hospital Center Foundation	Cortlandt, NY	Non-profit	Member of Board of Directors	April 2007	Present
12.	Mercury Personal Affairs	Washington, DC	LLC	Consultant	January 2014	April 2015
13.	Centene Corporation	St. Louis, MO	Corporation	Consultant	April 2013	April 2014
14.	South Farm LLC	Essex, NY	LLC	Manager Member	Januray 2013	Present
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Instructions for Part 2

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**Part 2: Filer's Employment Assets and Income**

#	Description	EIF	Value	Income Type	Income Amount
1.	Pataki-Cahill Group LLC (consulting services)	N/A	\$500,001 - \$1,000,000	Partnership	\$158,647.26
2.	Chadbourne & Parke LLP (law firm)	N/A		Salary	\$2,126,968.06
3.	Cosan Ltd., Sao Paulo, Brazil (food and energy producer)	N/A		Director Fees	\$233,320
4.	ThalesNano, Nanotechnology, Inc., Budapest, Hungary (nanotechnology company), receivable (deferred directors fees)	N/A	\$100,001 - \$250,000		None (or less than \$201)
5.	- ThalesNano Nanotechnology Inc, carried interest (value not readily ascertainable)	N/A			None (or less than \$201)
6.	EnerTech Capital, Conshohocken, PA (investment firm), carried interest in the following companies (value not readily ascertainable)	N/A			None (or less than \$201)
7.	- Atela Oil & Gas Technologies (oil and gas technologies)	N/A			
8.	- Blue Pillar (energy management technologie and services)	N/A			
9.	- Distech Controls (energy efficiency technologies)	N/A			
10.	- ENBALA (energy management technologies)	N/A			
11.	- Encycle (energy management technology)	N/A			
12.	- Energysavvy (energy software)	N/A			
13.	- FilterBoxx (water treatment)	N/A			
14.	- GeoDigital (mapping and data services)	N/A			
15.	- HPC Energy Services (oil field services)	N/A			
16.	- n- dimension (cyber security)	N/A			
17.	- The NanoSteel Company (nanotechnology)	N/A			
18.	- OwnEnergy (renewable energy)	N/A			
19.	- PCN Technology (energy automation services)	N/A			

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**Part 2: Filer's Employment Assets and Income**

#	Description	EIF	Value	Income Type	Income Amount
20.	- Power Survey (energy technologies)	N/A			
21.	- Space-Time Insight (computer software)	N/A			
22.	- Tangent Energy Solutions (computer software)	N/A			
23.	- Terralux (lighting technologies)	N/A			
24.	- Western Oilfield Equipment Ltd. (oil field services)	N/A			
25.	Danube Sicar Fund LP, Budapest, Hungary (investment firm) receivable (deferred directors fees)	N/A	\$100,001 - \$250,000		None (or less than \$201)
26.	- Danube Sicar Fund LP, carried interest in the following companies (value not readily ascertainable)	N/A			None (or less than \$201)
27.	- GPS Tuner (navigation software)	N/A			
28.	- Profirent (equipment rentals)	N/A			
29.	- Pek-Snack (food and beverage)	N/A			
30.	NetMotion Wireless, Inc., Seattle, WA (wireless VPN provider)	N/A		Director Fees	\$75,000
31.	- NetMotion Wireless, Inc.	N/A	\$100,001 - \$250,000		None (or less than \$201)
32.	The Northeast Maglev, Washington, DC (transportation technology)	N/A		Director Fees	\$225,000
33.	South Farm LLC, Essex, NY general partnership (crops and cattle)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
34.	Chadbourne & Parke LLP HR-10 401(A) plan:				
35.	- Fidelity Freedom K 2010 Fund	Y	\$100,001 - \$250,000		None (or less than \$201)
36.	- Fidelity Money Market Trust Retirement Portfolio	Y	\$15,001 - \$50,000		None (or less than \$201)
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Instructions for Part 6

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Part 6: Other Assets and Income					
#	Description	EIF	Value	Income Type	Income Amount
1.	Undeveloped land, Sushan, NY, vacant and undeveloped	N/A	\$250,001 - \$500,000		None (or less than \$201)
2.	Rental Property, Essex, NY, commercial rental property	N/A	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000
3.	MiniLuxe LLC, Boston, MA (Beauty Salon Chain)	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.	root9B Technologies, Inc. (cybersecurity provider)	N/A	\$100,001 - \$250,000		None (or less than \$201)
5.	Guardian Whole Life Insurance Policy	N/A	\$100,001 - \$250,000		None (or less than \$201)
6.	H2envirO LLC (water disinfection technology)	N/A	\$100,001 - \$250,000		None (or less than \$201)
7.	Breitenbach Wine, Tokaj, Hungary private corporation (wine)		\$100,001 - \$250,000		None (or less than \$201)
8.	Vieques Vista LLC, Vieques, Puerto Rico, residential property rental	N/A	\$50,001 - \$100,000	RENT	\$1,001 - \$2,500
9.	Trust Co. of America SEP-IRA:				
10.	- ASM CAPITAL LLC	Y	\$15,001 - \$50,000		None (or less than \$201)
	The following investments are held in the filer's Charles Schwab brokerage account:				
11.	AT & T INC (T)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
12.	ABERDEEN SINGAPORE FUND (SGF)	Y	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
13.	APPLE INC (AAPL)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
14.	AUTO DATA PROCESSING (ADP)	N/A	\$1,001-\$15,000		Less than \$201
15.	BED BATH & BEYOND (BBBY)	N/A	\$1,001-\$15,000		Less than \$201
16.	CANON INC (CAJ)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
17.	COGNIZANT TECH SOLU (CTSH)	N/A	\$1,001 - \$15,000	CAPITAL GAIN	\$1,001 - \$2,500
18.	DIAMOND OFFSHR DRILL (DO)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000

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Part 6: Other Assets and Income					
#	Description	EIF	Value	Income Type	Income Amount
19.	DICKS SPORTING GOODS (DKS)	N/A	\$15,001-\$50,000		Less than \$201
20.	ENCANA CORPORATION (ECA)	N/A	\$1,001-\$15,000		Less than \$201
21.	GAMESTOP CORP (GME)	N/A	\$1,001-\$15,000		Less than \$201
22.	GOLDMAN SACHS 3.75% PFD A (GS+A)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
23.	GOOGLE INC (GOOG)	N/A	\$1,001-\$15,000	CAPITAL GAIN	\$1,001 - \$2,500
24.	HONDA MOTOR CO LTD (HMC)	N/A	\$15,001-\$50,000	DIVIDEND	\$201 - \$1,000
25.	HSBC USA INC. 0% PFD G (HUSI+G)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
26.	INDIA CF (IFN)	Y	\$1,001-\$15,000		Less than \$201
27.	INTEL CORP (INTC)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
28.	JAPAN SMALLER CAPITALIZA (JOF)	Y	\$1,001-\$15,000		Less than \$201
29.	INTERNATIONAL PAPER (IP)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
30.	KOHL'S CORP (KSS)	N/A	\$1,001-\$15,000		Less than \$201
31.	KOREA FUND INC NEW (KF)	Y	\$1,001-\$15,000		Less than \$201
32.	MICROSOFT CORP (MSFT)	N/A	\$1,001-\$15,000		Less than \$201
33.	NEW GERMANY FD INC (GF)	Y	\$1,001-\$15,000		\$201 - \$1,000
34.	NEW IRELAND CF (IRL)	Y	\$1,001-\$15,000		\$201 - \$1,000
35.	SCHLUMBERGER LTD (SLB)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
36.	STMICROELECTRONICS (STM)	N/A	\$1,001-\$15,000		Less than \$201
37.	SYNCHRONOSS TECHS (SNCR)	N/A	\$1,001-\$15,000		Less than \$201

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**Part 6: Other Assets and Income**

#	Description	EIF	Value	Income Type	Income Amount
38.	TAIWAN FD INC (TWN)	Y	\$1,001-\$15,000		\$201 - \$1,000
39.	TAIWAN SEMICONDUCTR (TSM)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
40.	TEMPLETON DRAGON CF (TDF)	Y	\$1,001-\$15,000		\$201 - \$1,000
41.	TETRA TECH INC (TTEK)	N/A	\$1,001-\$15,000		Less than \$201
42.	TOTAL S A (TOT)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
43.	U S BANCORP 5.56% PFD B (USB+H)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
44.	VERITIV CORPORATION (VRTV)	N/A	Less than \$1,001		Less than \$201
45.	VERIZON COMMUNICATN (VZ)	N/A	\$1,001-\$15,000	DIVIDEND	\$1,001 - \$2,500
46.	WEATHERFORD INTL NEW (WFT)	N/A	\$1,001-\$15,000		Less than \$201
47.	IVY HIGH INCM FUND CL Y (WHIYX)	Y	\$1,001-\$15,000		\$1,001 - \$2,500
48.	METROPOLITAN WEST TOTAL RETURN BOND (MWTRX)	Y	\$1,001-\$15,000		Less than \$201
49.	PUTNAM CONV SECURITIES CL A (PCONX)	Y	\$1,001-\$15,000		\$201 - \$1,000
50.	HOST HOTELS & RESORT REIT (HST)	N/A	\$1,001 - \$15,000	DIVIDEND	\$201 - \$1,000
51.	CDK GLOBAL (CDK)	N/A	None (or less than \$1,001)	DIVIDEND	\$201 - \$1,000
52.	OPPENHEIMER SENIOR FLOATING (OOSAX)	Y	None (or less than \$1,001)		\$201 - \$1,000
53.	PENGOWTH (PGH)	N/A	None (or less than \$1,001)	DIVIDEND	\$201 - \$1,000
54.	TRANSOCEAN LTD (RIG)	N/A	None (or less than \$1,001)	DIVIDEND	\$201 - \$1,000
55.	TEVA PHARMACEUTICALS (TEVA)	N/A	None (or less than \$1,001)	DIVIDEND; CAPITAL GAIN	\$2,501 - \$5,000
56.	WEITZ SHORT- INTERMEDIATE INC. (WEFIX)	Y	None (or less than \$1,001)		\$201 - \$1,000

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Part 6: Other Assets and Income						
#	Description	EIF	Value	Income Type	Income Amount	
57.	The following investments are held in the filer's Charles Schwab SEP-IRA account:	N				
58.	AT & T INC (T)	N/A	\$1,001-\$15,000		Less than \$201	
59.	ABERDEEN SINGAPORE FUND (SGF)	Y	\$1,001-\$15,000		\$201 - \$1,000	
60.	AMGEN INCORPORATED (AMGN)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000	
61.	ANGLOGOLD ASHANTI (AU)	N/A	\$1,001-\$15,000		Less than \$201	
62.	APOLLO INVESTMENT CORP (AINV)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000	
63.	APPLE INC (AAPL)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000	
64.	ARCELOR MITTAL NY NEW (MT)	N/A	\$1,001-\$15,000		Less than \$201	
65.	BANK OF NY MELLON CO (BK)	N/A	\$1,001-\$15,000		Less than \$201	
66.	BED BATH & BEYOND (BBBY)	N/A	\$1,001-\$15,000		Less than \$201	
67.	CANON INC (CAJ)	N/A	\$1,001-\$15,000		Less than \$201	
68.	CHEMOURS COMPANY (CC)	N/A	\$1,001-\$15,000		Less than \$201	
69.	COGNIZANT TECH SOLU (CTSH)	N/A	\$15,001-\$50,000		Less than \$201	
70.	COMMUNITY HEALTH SYS (CYH)	N/A	\$1,001-\$15,000		Less than \$201	
71.	CONVERGYS CORP (CVG)	N/A	\$1,001-\$15,000		Less than \$201	
72.	DICKS SPORTING GOODS (DKS)	N/A	\$15,001-\$50,000		Less than \$201	
73.	DU PONT EI DE NEMOUR (DD)	N/A	\$1,001-\$15,000		Less than \$201	
74.	EATON CORP PLC (ETN)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000	
75.	ENCANA CORPORATION (ECA)	N/A	\$1,001-\$15,000		Less than \$201	

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**Part 6: Other Assets and Income**

#	Description	EIF	Value	Income Type	Income Amount
76.	EXPRESS SCRIPTS HLDG (ESRX)	N/A	\$1,001-\$15,000		Less than \$201
77.	FRESH MARKET INC (TFM)	N/A	\$1,001-\$15,000		Less than \$201
78.	GAMESTOP CORP (GME)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
79.	GNC HOLDINGS INC (GNC)	N/A	\$1,001-\$15,000		Less than \$201
80.	GOLDMAN SACHS 3.75% PFD A (GS+A)	N/A	\$1,001-\$15,000		Less than \$201
81.	GOOGLE INC (GOOG)	N/A	\$15,001-\$50,000		Less than \$201
82.	HONDA MOTOR CO LTD (HMC)	N/A	\$15,001-\$50,000	DIVIDEND	\$201 - \$1,000
83.	IAC/INTERACTIVE CORP (IACI)	N/A	\$1,001-\$15,000		Less than \$201
84.	INTEL CORP (INTC)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
85.	JAPAN SMALLER CAPITALIZA (JOF)	Y	\$1,001-\$15,000		Less than \$201
86.	KOHL'S CORP (KSS)	N/A	\$1,001-\$15,000		Less than \$201
87.	KOREA FUND INC NEW (KF)	Y	\$1,001-\$15,000		Less than \$201
88.	LAS VEGAS SANDS CORP (LVS)	N/A	\$1,001-\$15,000		Less than \$201
89.	MELCO CROWN ENTMT (MPEL)	N/A	\$1,001-\$15,000		Less than \$201
90.	MICHAEL KORS HLDGS (KORS)	N/A	\$1,001-\$15,000		Less than \$201
91.	MICROCHIP TECHNOLOGY (MCHP)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
92.	MICRON TECHNOLOGY (MU)	N/A	\$1,001-\$15,000		Less than \$201
93.	MICROSOFT CORP (MSFT)	N/A	\$1,001-\$15,000		Less than \$201
94.	MORGAN STANLEY F 4% PFD A (MS+A)	N/A	\$1,001-\$15,000		Less than \$201
95.	MURPHY OIL CORP HLDG (MUR)	N/A	\$1,001-\$15,000		Less than \$201

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**Part 6: Other Assets and Income**

#	Description	EIF	Value	Income Type	Income Amount
96.	NEW GERMANY FD INC (GF)	Y	\$1,001-\$15,000		\$201 - \$1,000
97.	NEW IRELAND CF (IRL)	Y	\$1,001-\$15,000		\$201 - \$1,000
98.	OASIS PETROLEUM INC (OAS)	N/A	\$1,001-\$15,000		Less than \$201
99.	PAYCHEX INC (PAYX)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
100	PENGROWTH ENERGY CORP (PGH)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
101	PEOPLES UNITED FINL (PBCT)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
102	PETROLEO BRASILEIRO (PBR)	N/A	\$1,001-\$15,000		Less than \$201
103	RIO TINTO PLC (RIO)	N/A	\$1,001-\$15,000		Less than \$201
104	ROWAN COMPANIES CLA (RDC)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
105	SCHLUMBERGER LTD (SLB)	N/A	\$1,001-\$15,000		Less than \$201
106	STERLING BANCORP (STL)	N/A	\$1,001-\$15,000		Less than \$201
107	STMICROELECTRONICS (STM)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
108	SYNCHRONOSS TECHS (SNCR)	N/A	\$1,001-\$15,000		Less than \$201
109	TAIWAN SEMICONDUCTR (TSM)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
110	TATA MOTORS LTD (TTM)	N/A	\$1,001-\$15,000		Less than \$201
111	TELEFONICA SA (TEF)	N/A	\$1,001-\$15,000		Less than \$201
112	TELETECH HOLDINGS (TTEC)	N/A	\$1,001-\$15,000		Less than \$201
113	TEMPLETON DRAGON CF (TDF)	Y	\$1,001-\$15,000		\$201 - \$1,000
114	TETRA TECH INC (TTEK)	N/A	\$1,001-\$15,000		Less than \$201

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Part 6: Other Assets and Income					
#	Description	EIF	Value	Income Type	Income Amount
115	TOTAL SA (TOT)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
116	TOYOTA MOTOR CORP (TM)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
117	UNITED THERAPEUTICS (UTHR)	N/A	\$1,001-\$15,000		Less than \$201
118	VERIZON COMMUNICATN (VZ)	N/A	\$15,001-\$50,000	DIVIDEND	\$1,001 - \$2,500
119	WEATHERFORD INTL NEW (WFT)	N/A	\$1,001-\$15,000		Less than \$201
120	IVY HIGH INCM FUND CL Y (WHIYX)	Y	\$15,001-\$50,000		\$1,001 - \$2,500
121	PUTNAM CONV SECURITIES CL A (PCONX)	Y	\$1,001-\$15,000		Less than \$201
122	TEMPLETON GLOBAL BOND FUND CL A (TPICZ)	Y	\$1,001-\$15,000		Less than \$201
123	HARBOR INTERNATIONAL FUND INST (HAINX)	Y	\$1,001-\$15,000		Less than \$201
124	HOST HOTELS & RESORT REIT (HST)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
125	ISHARES INTERM CREDIT BOND ETF (CIU)	Y	\$1,001-\$15,000		Less than \$201
126	SELECT SECTOR HEALTHCARE SPDR ETF (XLV)	Y	\$1,001-\$15,000		Less than \$201
127	SELECT STR FINANCIAL SELECT SPDR ETF (XLF)	Y	\$1,001-\$15,000		Less than \$201
128	SPDR FUND CONSUMER DISCRE SELECT ETF (XLY)	Y	\$1,001 - \$15,000		\$201 - \$1,000
129	ÖPPENHEIMER SENIOR FLOATING A (OOSAX)	Y	None (or less than \$1,001)		\$201 - \$1,000
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Part 6: Other Assets and Income					
#	Description	EIF	Value	Income Type	Income Amount
	The following assets are owned by the filer's spouse:				
130	Hayden Summerwood LLC, Tyler, TX (residential real estate investment)	N/A	\$100,001 - \$250,000		None (or less than \$201)
131	Ling Villages of Lake Jackson LLC  (Owns Hayden Ashston Woods LLC, an apartment complex located in Houston, TX 77090) (Owns Hayden Wimbledon Apartments LLC, an apartment complex located in Spring, TX 77379)	N/A	\$250,001 - \$500,000		None (or less than \$201)
132	Old Blue LLC, Kenshaw, GA (commercial real estate investment)	N/A	\$100,001 - \$250,000		None (or less than \$201)
133	Hayden Carrollton Oaks LLC, Carrollton, TX (residential real estate investment)	N/A	\$100,001 - \$250,000		None (or less than \$201)
134	Vieques Vista LLC, Vieques, Puerto Rico (residential rental property)	N/A	\$50,001 - \$100,000	RENT	\$2,501 - \$5,000
135	Trust Co. of America SEP-IRA				
136	- ASM CAPITAL LLC	Y	\$100,001 - \$250,00	<i>MSIP</i>	None (or less than \$201)
137	The following investments are held in the filer's spouse' Schwab SEP-IRA:	N			
138	ABERDEEN SINGAPORE FUND(SGF)	Y	\$1,001-\$15,000		\$201 - \$1,000
139	ANGLOGOLD ASHANTI (AU)	N/A	\$1,001-\$15,000		Less than \$201
140	APOLLO INVESTMENT CORP (AINV)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
141	ARCELOR MITTAL NY (MT)	N/A	\$1,001-\$15,000		Less than \$201
142	CONVERGYS CORP (CVG)	N/A	\$1,001-\$15,000		Less than \$201
143	DIAMOND OFFSHR DRILL (DO)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
144	DICKS SPORTING GOODS (DKS)	N/A	\$1,001-\$15,000		Less than \$201
145	ENCANA CORPORATION (ECA)	N/A	\$1,001-\$15,000		Less than \$201

JEP

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Filer's Name					Page Number
George E. Pataki					16
Part 6: Other Assets and Income					
#	Description	EIF	Value	Income Type	Income Amount
146	GAMESTOP CORP (GME)	N/A	\$1,001-\$15,000		Less than \$201
147	GOLDMAN SACHS 3.75% PFD A (GS+A)	N/A	\$1,001-\$15,000		Less than \$201
148	GOOGLE INC (GOOG)	N/A	\$1,001-\$15,000		Less than \$201
149	HONDA MOTOR CO LTD (HMC)	N/A	\$1,001-\$15,000		Less than \$201
150	JAPAN SMALLER CAPITALIZA (JOF)	Y	\$1,001-\$15,000		Less than \$201
151	LAS VEGAS SANDS CORP (LVS)	N/A	\$1,001-\$15,000		Less than \$201
152	MELCO CROWN ENTMT (MPEL)	N/A	\$1,001-\$15,000		Less than \$201
153	MICHAEL KORS HLDGS (KORS)	N/A	\$1,001-\$15,000		Less than \$201
154	MICRON TECHNOLOGY (MU)	N/A	\$1,001-\$15,000		Less than \$201
155	IVY HIGH INCM FUND CL Y (WHIYX)	Y	\$15,001-\$50,000		Less than \$201
156	PUTNAM CONV SECURITIES CL A (PCONX)	Y	\$1,001-\$15,000		Less than \$201
157	TEMPLETON GLOBAL BOND FUND CL A (TPICZ)	Y	\$1,001-\$15,000		Less than \$201
158	HARBOR INTERNATIONAL FUND INST (HAINX)	Y	\$1,001-\$15,000		Less than \$201
159	HOST HOTELS & RESORT REIT (HST)	N/A	\$1,001-\$15,000		Less than \$201
160	ISHARES INTERM CREDIT BOND ETF (CIU)	Y	\$1,001-\$15,000		Less than \$201
161	SELECT SECTOR HEALTHCARE SPDR ETF (XLV)	Y	\$1,001-\$15,000		Less than \$201
162	SELECT STR FINANCIAL SELECT SPDR ETF (XLF)	Y	\$1,001-\$15,000		Less than \$201
163	SPDR FUND CONSUMER DISCRE SELECT ETF (XLY)	Y	\$1,001-\$15,000		Less than \$201
164	NEW IRELAND CF (IRL)	Y	\$1,001-\$15,000		\$201 - \$1,000

15 EP

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Filer's Name					Page Number
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Part 6: Other Assets and Income					
#	Description	EIF	Value	Income Type	Income Amount
165	OASIS PETROLEUM INC (OAS)	N/A	\$1,001-\$15,000		Less than \$201
166	ROWAN COMPANIES CL A (RDC)	N/A	\$1,001-\$15,000		Less than \$201
167	SANDISK CORP(SNDK)	N/A	\$1,001-\$15,000		Less than \$201
168	SCHLUMBERGER LTD(SLB)	N/A	\$1,001-\$15,000		Less than \$201
169	TAIWAN SEMICONDUCTR (TSM)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
170	TEMPLETON DRAGON CF (TDF)	Y	\$1,001-\$15,000		Less than \$201
171	VERIZON COMMUNICATN (VZ)	N/A	\$1,001-\$15,000	DIVIDEND	\$201 - \$1,000
172	VIACOM INC (VIAB)	N/A	\$1,001-\$15,000		Less than \$201
173	IVY HIGH INCM FUND CL Y (WHIYX)	Y	\$1,001-\$15,000		\$201 - \$1,000
174	METROPOLITAN WEST TOTAL RETURN BOND M (MWTRX)	Y	\$1,001-\$15,000		Less than \$201
175	PUTNAM CONV SECURITIES CL A (PCONX)	Y	\$1,001-\$15,000		Less than \$201
176	TEMPLETON GLOBAL BOND FUND CL A (TPICZ)	Y	Less than \$1,001		Less than \$201
177	SCHWAB S&P 500 INDEX FD (SWPPX)	Y	\$1,001-\$15,000		Less than \$201
178	VANGUARD MID CAP INDEX FUND INV CL (VIMSX)	Y	\$1,001-\$15,000		Less than \$201
179	SELECT SECTOR HEALTHCARE SPDR ETF (XLV)	Y	\$1,001-\$15,000		Less than \$201
180	SELECT STR FINANCIAL SELECT SPDR ETF (XLF)	Y	\$1,001-\$15,000		Less than \$201
181	SPDR FUND CONSUMER DISCRE SELECT ETF (XLY)	Y	\$1,001-\$15,000		Less than \$201
182	OAKMARK INTL FD (OAKIX)	Y	None (or less than \$1,001)		\$201 - \$1,000
183	TRANSOCEAN (RIG)	N/A	None (or less than \$1,001)	DIVIDEND	\$201 - \$1,000
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