



**U.S. Department of  
Transportation**

Office of the Secretary  
of Transportation

1200 New Jersey Avenue, SE  
Washington, DC 20590

MAY 26 2016

The Honorable Walter M. Shaub, Jr.  
Director  
Office of Government Ethics  
Suite 500  
1201 New York Avenue, NW  
Washington, DC 20005-3917

Dear Mr. Shaub:

Enclosed as Required by 31 U.S.C. 1353 is the Department of Transportation report of payments accepted from non-Federal sources for travel, subsistence, and related expenses for the period October 1, 2015 through March 31, 2016.

Please direct any questions regarding the report to Herbert Walker at (202) 366-0300 or [herbert.walker@dot.gov](mailto:herbert.walker@dot.gov).

Sincerely,

A handwritten signature in black ink, appearing to read "Andrew Julian".

Andrew Julian  
Associate Director for  
Internal Controls



**SEMIANNUAL REPORT OF PAYMENTS ACCEPTED FROM A NON-FEDERAL SOURCE**

**REPORTING PERIOD**

PAGE 1 OF 1 PAGES

Reporting Department or Agency  
U.S. Department of Transportation, Office of the Secretary, Office of the Executive Secretariat

October 1, 2015 - March 31, 2016

Negative Response

TRAVELER (NAME/TITLE)	EVENT	LOCATION AND TRAVEL DATES	SOURCE	BENEFITS ACCEPTED		
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**SEMIANNUAL REPORT OF PAYMENTS ACCEPTED FROM A NON-FEDERAL SOURCE**

**REPORTING PERIOD**

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Reporting Department or Agency  
OST Office of the Assistant Secretary for Administration – Direct Funding

October 1, 2015 to March 31, 2016

Negative Report  
X

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**SEMIANNUAL REPORT OF PAYMENTS ACCEPTED FROM A NON-FEDERAL SOURCE**

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Reporting Department or Agency  
OST - S-1 DRUG, Office of Drug and Alcohol Policy and Compliance

October 1, 2015 - March 31, 2016

X NEGATIVE

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**SEMIANNUAL REPORT OF PAYMENTS ACCEPTED FROM A NON-FEDERAL SOURCE**

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Reporting Department or Agency  
Office of Hearings, M-20

October 1, 2015-March 31, 2016

X  
NEGATIVE REPORT

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**SEMIANNUAL REPORT OF PAYMENTS ACCEPTED FROM A NON-FEDERAL SOURCE**

**REPORTING PERIOD**

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Reporting Department or Agency  
OST S1, S2, A & I offices

10/1/15 - 3/31/16

Negative Report

**TRAVELER**  
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**SEMIANNUAL REPORT OF PAYMENTS ACCEPTED FROM A NON-FEDERAL SOURCE**

**REPORTING PERIOD**

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Reporting Department or Agency  
Office of the Assistant Secretary for Aviation and International Affairs, X

October 1, 2015 – March 31, 2016

Negative Report

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**REPORTING PERIOD**

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Reporting Department or Agency  
OST Office of Intelligence, Security & Emergency Response, S-60

October 1, 2015 through March 31, 2016

TRAVELER (NAME/TITLE)	EVENT	LOCATION AND TRAVEL DATES	BENEFITS ACCEPTED				
			SOURCE	DESCRIPTION	CHECK	IN- KIND	AMOUNT
NAME: Janet Benini	DESCRIPTION: Participate in APEC workshop	LOCATION: Manila, Philippines		Airfare, Hotel, Registration Fee		X	\$2195.50
TITLE: Senior Advisor	SPONSOR: Asian Pacific Economic Corporation (APEC)	TRAVEL DATES: 10/2-8/2015					
NAME: Janet Benini	DESCRIPTION: NATO Resiliency Meeting	LOCATION: Brussels, Belgium		Airfare, Hotel, Registration Fee		X	\$1729.32
TITLE: Senior Advisor	SPONSOR: North Atlantic Treaty Organization	TRAVEL DATES: 11/10-15, 2015					
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Reporting Department or Agency  
Office of Budget and Programs

October 1, 2015 thru March 31, 2016

X Negative Report

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Reporting Department or Agency  
Office of the General Counsel

October 1, 2015 thru March 31, 2016

Negative Report

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Reporting Department or Agency  
Office of the Under Secretary for Transportation Policy, S3

October 1, 2015 – March 31, 2016

Negative Report

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Reporting Department or Agency  
Office of the Assistant Secretary for Transportation Policy, P

October 1, 2015 – March 31, 2016

Negative Report

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Reporting Department or Agency  
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10/1/2015 - 3/31/2016

TRAVELER (NAME/TITLE)	EVENT	LOCATION AND TRAVEL DATES		SOURCE	BENEFITS ACCEPTED			
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NAME: USMMA	DESCRIPTION: Participation of the Regimental Band in a multi-day celebration recognizing the completion and/or dedication of new galleries at the National WWII Museum	LOCATION: New Orleans, LA	TRAVEL DATES: 12/10/15 and 12/12/15	National WWII Museum	Grnd Transportation Air Transportation			
TITLE: Regimental Band 35 Band Members	SPONSOR: The National WWII Museum				Meals			
	DATES: 12/11/15				2 Nights Lodging			
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Reporting Department or Agency  
PHMSA/PHF

October 1, 2015 to March 31, 2016

Negative Report

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Reporting Department or Agency  
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October 1, 2015 thru March 31, 2016

Negative Report

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Reporting Department or Agency  
SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

October 1, 2015 - March 31, 2016

Negative Report

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Reporting Department or Agency Federal Railroad Administration

October 1, 2015-March 31, 2016

Negative Report

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USDOT - Volpe Center

October 1, 2015 thru March 31, 2016

Negative Report

TRAVELER (NAME/TITLE)	EVENT	DESCRIPTION/SPONSOR/DATES	LOCATION AND TRAVEL DATES	SOURCE	BENEFITSACCEPTED			
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Reporting Department or Agency

FEDERAL HIGHWAY ADMINISTRATION

Oct 1, 2015 – March 31, 2016

TRAVELER (NAME/TITLE)	EVENT	LOCATION AND TRAVEL DATES		SOURCE	BENEFITS ACCEPTED			
		LOCATION:	TRAVEL DATES:		DESCRIPTION	CHECK	IN-KIND	AMOUNT
NAME: Darren Timothy	DESCRIPTION: Mr. Darren Timothy presented on U.S. views on the purpose and future of Tolling Policy for Highways, and Factors contributing to future considerations at the 2015 Japan Road Congress.	LOCATION: Tokyo, Japan	TRAVEL DATES: 10/25/2015 - 10/30/2015	Japan Road Association (JRA)	Airfare		X	\$1,067.50
					Lodging		X	\$680
					Meals		X	\$668
TITLE: Senior Advisor	SPONSOR: Japan Road Association (JRA)					X	\$300	
NAME: Michael Griffith	DESCRIPTION: Mr. Michael Griffith presented on the World Road Association's Road Safety Manual at the Research Institute of Highway, Ministry of Transport (RIOH) in China.	LOCATION: Beijing, China	TRAVEL DATES: 11/4/2015 - 11/6/2015	Ministry of Transport (RIOH)	Lodging		X	\$300
					Local Transportation		X	\$120
TITLE: Director, Office of Safety Technologies	SPONSOR: Ministry of Transport (RIOH)							
NAME: Michael Avery	DESCRIPTION: Participate in Committee Meeting of American Society of Civil Engineers	LOCATION: Denver, CO	TRAVEL DATES: 2/19/2016 - 2/21/2016	American Society of Civil Engineers	Flight and hotel		X	\$988
TITLE: Puerto Rico Associate Division Administrator	SPONSOR: American Society of Civil Engineers							
NAME:	DESCRIPTION:	LOCATION:						
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Reporting Department or Agency  
National Highway Traffic Safety Administration

October 1, 2015 – March 31, 2016

TRAVELLER (NAME/TITLE)	EVENT	LOCATION AND TRAVEL DATES		BENEFITS ACCEPTED				
		DESCRIPTION/SPONSOR/DATES	LOCATION: TRAVEL DATES	SOURCE	DESCRIPTION	CHECK	IN- KIND	AMOUNT
NAME: Timothy Goodman	DESCRIPTION: DRI Strictly Automotive Seminar  Mr. Goodman presented information on NHTSA's latest regulatory and enforcement developments. This meeting provided an excellent opportunity for NHTSA to encourage principled collaboration with regulated entities and strengthening NHTSA's relationship with the private bar to promote safety and mitigate the risk of harm to the public.	LOCATION: Nashville, TN	TRAVEL DATES: November 11-13, 2015	DRI Strictly Automotive	Hotel		X	\$518
TITLE: Assistant Chief Counsel for Litigation	SPONSOR: DRI is an international membership organization of lawyers involved in the defense of civil litigation. DRI's mission is to enhance the skills, effectiveness, and professionalism of defense lawyers; anticipate and address issues germane to defense lawyers and the civil justice system; promote appreciation of the role of the defense lawyer; and improve the civil justice system and preserve the civil jury.	LOCATION: 	TRAVEL DATES: 					
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Reporting Department or Agency  
Federal Motor Carrier Safety Administration (FMCSA)

October 1, 2015 thru March 31, 2016

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Reporting Department or Agency

DOT, Office of Inspector General

October 1, 2015 to March 31, 2016

Negative Report  
X

TRAVELLER (NAME/TITLE)	EVENT DESCRIPTION/SPONSOR/DATES	LOCATION AND TRAVEL DATES	SOURCE	BENEFITSACCEPTED		
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Reporting Department or Agency  
FTA

October 1, 2015, through March 31, 2016

TRAVELLER (NAME/TITLE)	EVENT	LOCATION AND TRAVEL DATES	SOURCE	BENEFITS ACCEPTED			
				DESCRIPTION	CHECK	IN- KIND	AMOUNT
NAME: Boenau, Ronald	DESCRIPTION: ITS Executive Training	LOCATION: Riyadh, Saudi Arabia	SOURCE: International Road Foundation	Hotel		X	\$920
				Meals		X	\$630
TITLE: Senior Transportation Systems Manager	SPONSOR: International Road Foundation	TRAVEL DATES: October 18-23, 2015		Ground transport		X	\$100
				Airfare		X	\$350
NAME: Hall, Faith	DESCRIPTION: Nat'l Advisory Panel; Spatial and Skills Mismatch of Unemployment and Job Vacancies: Opportunities for Integrated Transit and Workforce Dev.	LOCATION: Minneapolis, Minnesota	SOURCE: University of Minnesota	Hotel		X	\$135
TITLE: Transportation Program Specialist	SPONSOR: Hennepin County; MacKnight Foundation	TRAVEL DATES: October 13-14, 2015					
NAME:	DESCRIPTION:	LOCATION:					
TITLE:	SPONSOR:	TRAVEL DATES:					
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