

**ETHICS PROGRAM REVIEW FOLLOW-UP REPORT**

Agency: National Capital Planning Commission

Follow-up to OGE Report Number: 25-28I

Report No.: 25-91IF

Date: September 25, 2025

**UNITED STATES OFFICE OF  
GOVERNMENT ETHICS**Preventing Conflicts of Interest  
in the Executive Branch

As a result of its review of the National Capital Planning Commission's (NCPC) ethics program, the Office of Government Ethics (OGE) issued ten recommendations in its January 2025 inspection report. OGE conducted a follow-up review to assess whether NCPC has taken sufficient action to resolve the deficiencies underlying the recommendation. The results of the follow-up review are summarized below.

|   | Recommendation  | Agency Action and OGE Finding  | Status |
|---|---|--|--------|
| 1 | Ensure public financial disclosure reports are reviewed in a timely manner. Confirm signatures and dates are present on all public financial disclosure forms.  | NCPC was required to collect two public financial disclosure reports. Both public financial disclosure reports for OGE inspection, and both reports were reviewed in a timely manner with all necessary signatures and dates present.                          | Closed |
| 2 | Ensure public financial disclosure reports are filed and certified in a timely manner. Confirm signatures and dates are present on all public financial disclosure forms.   | The public financial disclosure reports were filed and certified in a timely manner, with all necessary signatures and dates present.  | Closed |
| 3 | Review and certify the annual and termination reports for the agency's prior General Counsel.   | NCPC submitted the annual and termination reports for the agency's prior General Counsel with signatures and dates.  | Closed |
| 4 | Ensure confidential financial disclosure reports are filed timely and that applicable extensions are recorded by NCPC.  | Ten confidential financial disclosure reports submitted by NCPC were filed and certified timely.   | Closed |
| 5 | Confirm signatures and dates are present on all confidential financial disclosure forms.  | All confidential financial disclosure forms submitted by NCPC include appropriate signatures and dates.  | Closed |
| 6 | The initial ethics training submitted by NCPC included slides describing concepts related to conflicts of interests and gifts, but not slides explaining the concepts of impartiality or misuse of position. Future NCPC initial ethics training presentations should include slides dedicated to the concepts of impartiality and misuse of position in addition to the current slides addressing conflicts of interest and gifts. | NCPC submitted a revised new employee orientation presentation that includes slides for conflicts of interest, impartiality, misuse of position, and gifts.<br><br><b>OGE Finding:</b> The revised slides are compliant with applicable rules and regulations. | Closed |

## ETHICS PROGRAM REVIEW FOLLOW-UP REPORT

Agency: National Capital Planning Commission

Follow-up to OGE Report Number: 25-28I

Report No.: 25-91IF

Date: September 24, 2025

## UNITED STATES OFFICE OF GOVERNMENT ETHICS

Preventing Conflicts of Interest  
in the Executive Branch

As a result of its review of the National Capital Planning Commission's (NCPC) ethics program, the Office of Government Ethics (OGE) issued ten recommendations in its January 2025 inspection report. OGE conducted a follow-up review to assess whether NCPC has taken sufficient action to resolve the deficiencies underlying the recommendation. The results of the follow-up review are summarized below.

|    |   |  |        |
|----|---|--|--------|
| 7  | The annual ethics training presentation submitted by NCPC includes slides explaining the concepts of financial conflicts of interest and impartiality, but not misuse of position and gifts. Future NCPC annual ethics training presentations should include slides dedicated to addressing each of those four topics in depth. | NCPC submitted a revised training presentation that includes slides for conflicts of interest, impartiality, misuse of position, and gifts.<br><br><b>OGE Finding:</b> The revised slides are compliant with applicable rules and regulations. | Closed |
| 8  | NCPC should ensure all SGE financial reports are collected. NCPC should ensure SGE financial disclosure reports are filed and signed in a timely manner.  | All SGE financial disclosure reports were collected. Of the five reports filed, four were filed late.  | Open   |
| 9  | NCPC should ensure SGE financial disclosure reports are reviewed in a timely manner and include the signature of the reviewing official.  | All SGE financial disclosure reports were reviewed in a timely manner and included the signature of the reviewing official.  | Closed |
| 10 | NCPC should ensure SGE financial disclosure reports certified in a timely manner.   | All SGE financial disclosure reports were certified in a timely manner.  | Closed |

Based on the results of OGE's follow-up review, nine of the above recommendations are closed, and one recommendation remains open.