

# UNITED STATES OFFICE OF GOVERNMENT ETHICS



Preventing Conflicts of Interest  
in the Executive Branch

A graphic consisting of seven interlocking hexagons arranged in a honeycomb pattern. Three hexagons are dark blue and contain text, while four are mustard yellow. Each hexagon has a small white hexagon on one of its outer edges.

**The Executive  
Branch Ethics  
Program**

**Highlights  
CY 2013**

**Annual  
Agency  
Questionnaire**

# OVERVIEW

Each year, executive branch agencies submit to OGE responses to an Annual Questionnaire (Questionnaire).

The Questionnaire asks for information about ethics officials and the administration of agency ethics programs as well as core elements of the ethics program that assist in the identification and resolution of potential conflicts of interest.

The compiled data provides valuable insights about the executive branch ethics program.

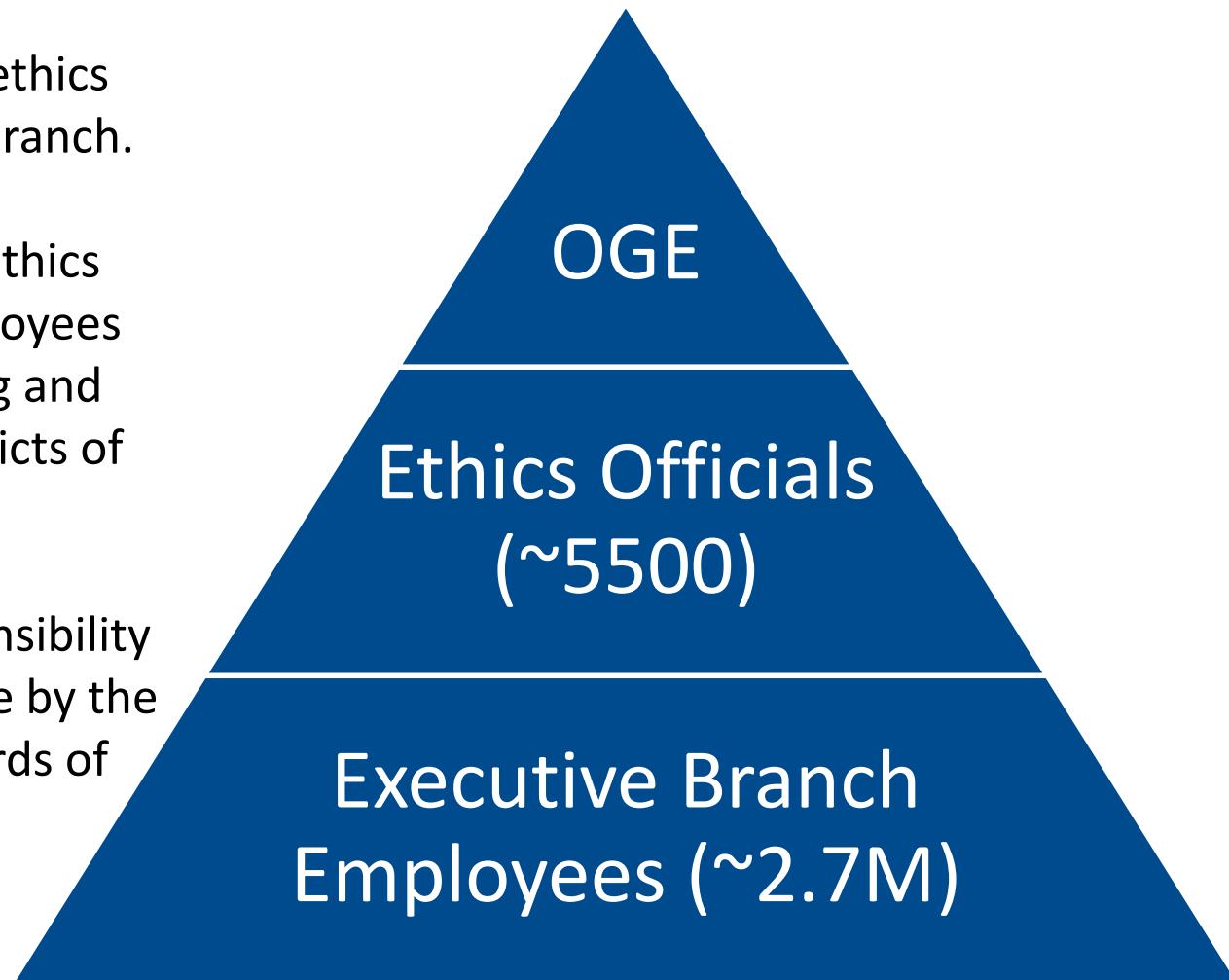
**136 executive  
branch agencies  
submitted  
Questionnaires**

# ROLES & RESPONSIBILITIES

OGE is the supervising ethics office for the executive branch.

Each agency appoints ethics officials to provide employees assistance in identifying and resolving potential conflicts of interests.

Ultimately, it is the responsibility of each employee to abide by the ethics rules and standards of conduct.



# TOOLS TO IDENTIFY AND RESOLVE POTENTIAL CONFLICTS OF INTEREST

## EDUCATION & TRAINING

More than 428,000 employees received annual ethics training.

The majority of agencies include Initial Ethics Orientation as part of their agency's in-processing process.

## FINANCIAL DISCLOSURE

**~2.7 million  
civilian  
executive  
branch  
employees**

~370,000  
confidential  
filers

~27,000  
public  
filers

## ADVICE & COUNSELING

Most frequently asked questions by employees

1

Gift Acceptance

2

Outside Employment/Activities

3

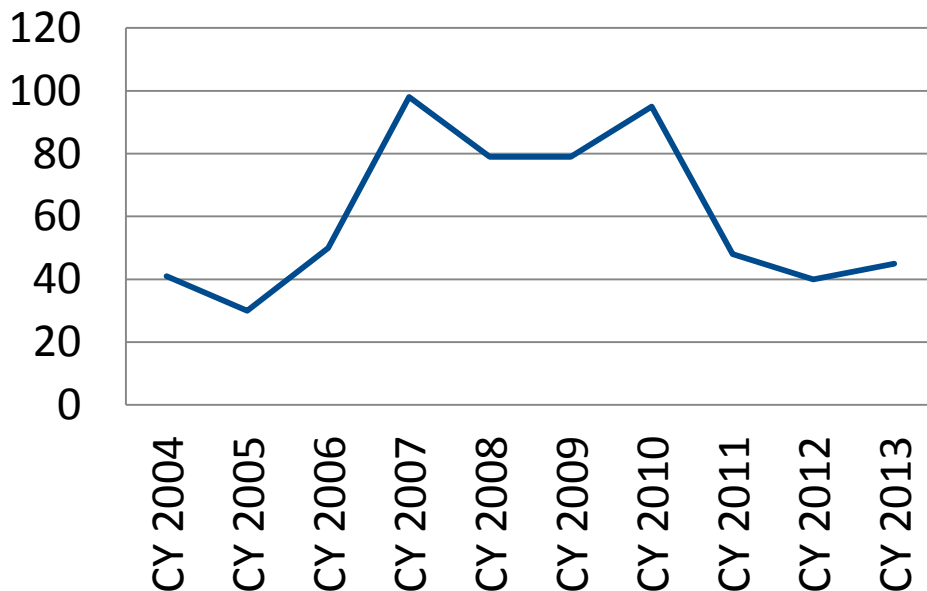
Conflicting Financial Interests

**Recusal is the most often used method to remedy a conflict of interest.**

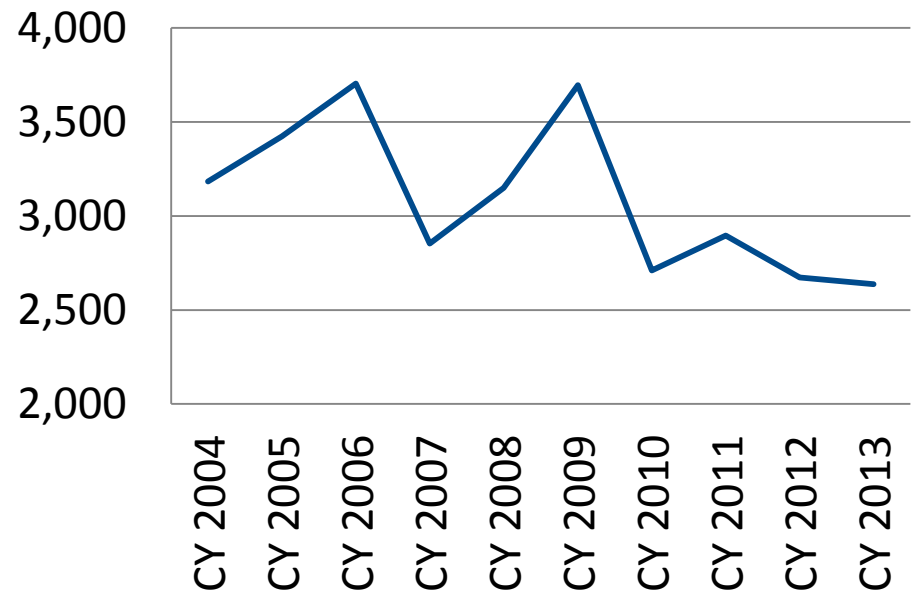
# ENFORCEMENT

OGE's mission is one of prevention. OGE does not adjudicate complaints, investigate matters within the jurisdiction of Inspectors General and other authorities, or prosecute ethics violations. For more information about enforcement, click [here](#).

# of disciplinary actions\* taken based wholly or in part upon violations of the criminal conflict of interest statutes, 18 USC 203, 205, 207, 208 and 209 in 2013.

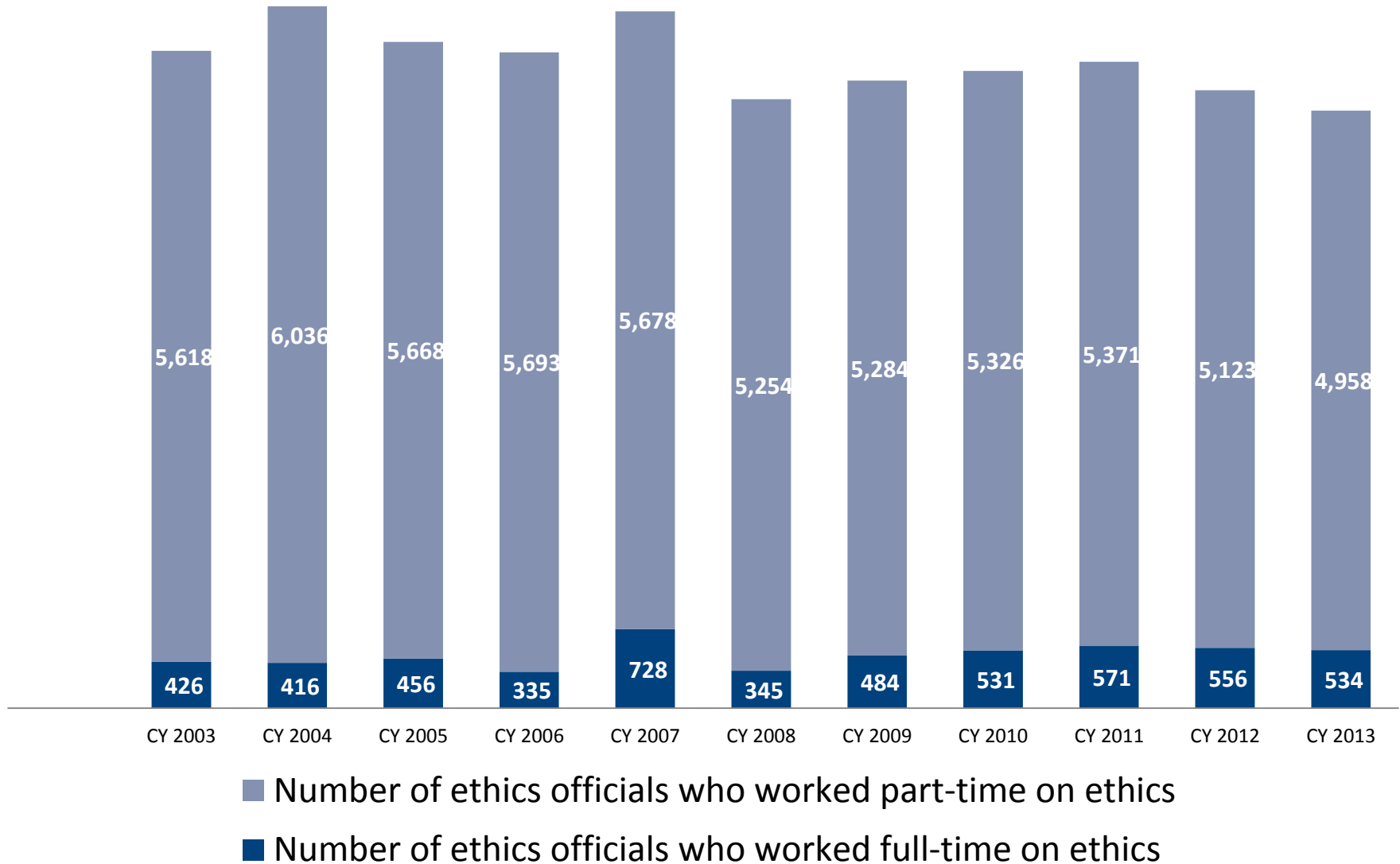


# of disciplinary actions\* taken based wholly or in part upon violations of the standards of conduct provisions (Part 2635) in 2013.



\*Disciplinary Action = include removals, demotions, suspensions, and written reprimands or their equivalents.

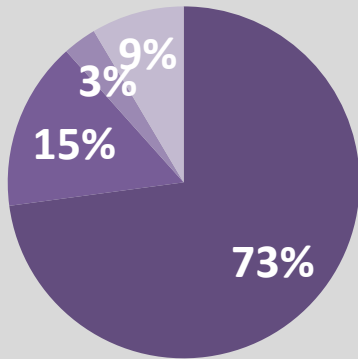
# How many ethics officials are there?



# Designated Agency Ethics Official = the most senior ethics official at an agency

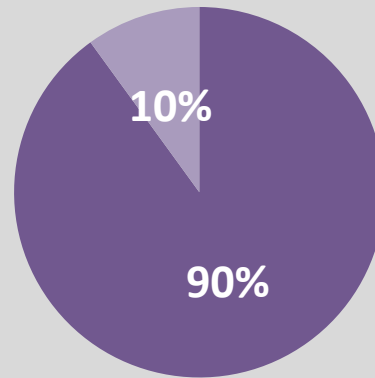
**% of time DAEOS spent on ethics**

■ 0-25%    ■ 26-50%  
■ 51-75%    ■ 76%-100%



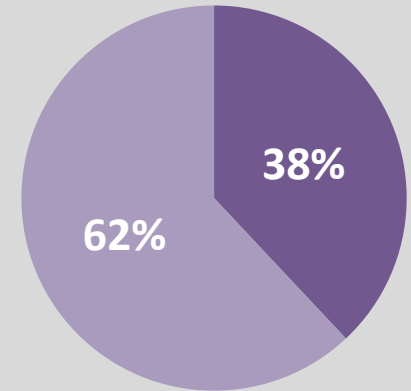
**Type of employee**

■ Career Employee  
■ Political Appointee

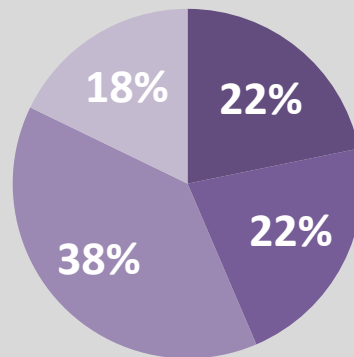


**% of DAEOS eligible to retire**

■ Eligible    ■ Not Eligible



**# of years spent in DAEO position**



■ 10 or more years  
■ 5-9 years  
■ 1-4 years  
■ Less than 1 year

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